

# Detailed stratified GWAS analysis for severe COVID-19 in four European populations

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## Abstract

Given the highly variable clinical phenotype of Coronavirus disease 2019 (COVID-19), a deeper analysis of the host genetic contribution to severe COVID-19 is important to improve our understanding of underlying disease mechanisms. Here, we describe an extended genome-wide association meta-analysis of a well-characterized cohort of 3255 COVID-19 patients with respiratory failure and 12 488 population controls from Italy, Spain, Norway and Germany/Austria, including stratified analyses based on age, sex and disease severity, as well as targeted analyses of chromosome Y haplotypes, the human leukocyte antigen region and the SARS-CoV-2 peptidome. By inversion imputation, we traced a reported association at 17q21.31 to a ~0.9-Mb inversion polymorphism that creates two highly differentiated haplotypes and characterized the potential effects of the inversion in detail. Our data, together with the 5th release of summary statistics from the COVID-19 Host Genetics Initiative including non-Caucasian individuals, also identified a new locus at 19q13.33, including NAPSA, a gene which is expressed primarily in alveolar cells responsible for gas exchange in the lung.

## Introduction

In the past year, Coronavirus disease 2019 (COVID-19), caused by the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), has evolved into a global pandemic with more than 532 million confirmed cases and 6.3 million COVID-19-related deaths worldwide (frequencies reported by the World Health Organization, June 11, 2022). The clinical manifestations of COVID-19 are variable and range from complete absence of symptoms to severe respiratory failure and death. Severe COVID-19 requires intensive medical care with respiratory support and can result in long-term damages detrimental to the individual. The pathogenesis of severe COVID-19 is, however, still poorly understood. This condition has been associated with clinical risk factors such as old age, male sex and comorbidities including diabetes, active cancer, hypertension and coronary artery disease (CAD) as well as solid organ transplant or other conditions that promote an immunosuppressive state (1–4).

Different studies, including the first genome-wide association analysis (GWAS) by of our Severe COVID-19 GWAS study group, have shown that genetic predisposition plays a role in COVID-19 susceptibility and severity (5–7). In particular, we reported significant associations between genetic variants at loci 3p21.31 (around LZTF1) and 9q34.2 (ABO blood group locus) to severe respiratory failure and SARS-CoV-2 infection in 1610 severe COVID-19 patients and 2205 population controls of European ancestry. Since then, these loci have been replicated in subsequent studies and extended also to non-European cohorts (8–10). Eleven additional genome-wide significant loci, associated with SARS-CoV-2 infection or COVID-19 manifestations, have been reported by various studies, including the Genetics Of Mortality In Critical Care (GenOMICC) Initiative and, more recently, the largest genetic consortium for COVID-19, the COVID-19 Host Genetics initiative (HGI) (6,7). Six of these loci have been linked to critical illness by COVID-19 and

include genes that were previously associated with pulmonary or autoimmune and inflammatory diseases (7).

Since our primary publication, the Severe COVID-19 GWAS study group dataset has been extended to 3255 severe COVID-19 patients with respiratory failure (5) and 12 488 controls with unknown COVID-19 status from 30 study centers across Italy, Spain, Norway and Germany/Austria (after quality control (QC); [Supplementary Material, Table S1A and D](#)). Compared with our previous study, we increased the number of patients and controls by approximate factors of 2 and 5.5, respectively (from 1610 and 2205). Furthermore, detailed information on age, sex and comorbidities as hypertension, diabetes and CAD is available in this dataset. The control cohort included predominantly population controls recruited at the respective centers as blood or bone marrow donors with unknown or negative COVID-19 status ([Supplementary Material, Table S1C](#)). With this unique resource at hand, we performed an extended genome-wide association study (GWAS) and meta-analysis of severe COVID-19 as well as a meta-analysis with the COVID-19 HGI release 5 GWAS summary statistics, followed by stratified analyses of age, sex and disease and a detailed analysis of ABO secretor status. Using Y-chromosomal genotype calls from the Global Screening Array (GSA), we additionally performed an imputation and disease association of chromosome Y haplotypes. Compared with our first study, we also performed an even more detailed analysis of the human leukocyte antigen (HLA) which includes classical fine-mapping of the HLA region based on local imputation of SNP, amino acid and classical allele information, as well as a broad range of other approaches, including a peptidome-wide association study (PepWAS) (11) computational prediction of SARS-CoV-2 peptide presentation, HLA class I supertype association analysis and tests for heterozygote advantage, divergent allele advantage and molecular mimicry. Finally, by inversion imputation, we present a functional analysis of the established 17q21.31 locus (7), which has been described by COVID-19 HGI but not characterized in depth.

## Results

### GWAS meta-analyses for severe COVID-19 with respiratory failure

Genotyping was carried out using Illumina's GSA, followed by genotype QC analysis and TOPMed genotype imputation (Material and Methods, [Supplementary Material, Figure S1](#), patient numbers before and after QC are shown in [Supplementary Material, Table S1A and C](#)). Respiratory failure was defined as respiratory support with supplemental oxygen [class 1] or non-invasive and invasive ventilation [classes 2 and 3, respectively], or by extracorporeal membrane oxygenation (ECMO) [class 4]).(5) Analogously to the COVID-19 HGI (7), we conducted two GWAS discovery meta-analyses for two main categories of COVID-19 disease state: First, 'hospitalization with respiratory support' (respiratory

support classes 1–4 with a total of 3255 patients and 12 488 controls, main analysis), and second, a more stringent definition of severe COVID-19 'hospitalization with mechanical ventilation' (classes 2–4 with 1911 critically ill individuals and 12 226 controls, subtype analysis). Details of per cohort patient numbers are shown in [Supplementary Material, Table S1E](#). The characteristics of patients and controls included in our analyses are shown in [Table 1](#) and [Supplementary Material, Table S2](#). After imputation, we carried out a GWAS of 9 223 806 and 9175283 high-quality genetic variants [imputation  $R^2 \geq 0.6$  and minor allele frequency (MAF)  $\geq 1\%$ ] stratified by ancestry (Italy, Spain, Norway and Germany/Austria) using a logistic mixed model analysis as implemented in SAIGE (12), followed by a fixed-effect inverse variance-weighted meta-analysis using METAL (13) (low genomic inflation of 1.017; [Supplementary Material, Figs S2 and S3](#)). Genome-wide comparison of the case–control frequencies [conservatively adjusted for age, sex, age\*age, sex\*age and top 10 principal components (PCs) from principal component analysis (PCA) as employed by the COVID-19 HGI; Material and Methods], revealed genome-wide significant associations ( $P < 5 \times 10^{-8}$ ; [Table 2](#) and [Supplementary Material, Table S3, Figs S4 and S5](#)) at three known loci in the main analysis: chr3:45848457:C:T (rs35731912) within LZTFL1 at the 3p21.31 locus, chr9:133261703:A:G (rs687289) within ABO at 9q34.2, chr19:10351837:C:T (rs11085725) within TYK2 at 19p13.2. In addition to the same TYK2 variant, chr19:4717660:A:G (rs12610495) within DPP9 at 19p13.3 was genome-wide significant in the subtype analysis. Additional suggestive loci ( $P < 10^{-6}$ ) from both analyses include previously reported associations at 17q21.31 (MAPT), 19p13.3 (DPP9) and 21q22.11 (IFNAR2) ([Table 2](#) and [Supplementary Material, Table S3](#)) (7). Of note, the respective lead risk variants (this study versus COVID-19 HGI) at reported loci are linked with  $r^2$  or  $D' > 0.9$  across our study cohorts ([Supplementary Material, Table S4](#)). Although hierarchical mixture model analysis with MAMBA (14) (Material and Methods) showed a high posterior probability of replication (PPR  $> 0.8$ ) for suggestive associations at PCDH7 at 4p15.1, FREM1 at 9p22.3 (main analysis), OLMF4 at 13q21.1 and PTPRM at 18p11.23 (subtype analysis), they were not observed as significantly associated with COVID-19 in the COVID-19 HGI 5 release dataset, which is why we did not consider them further (forest plots including all data are shown in [Supplementary Material, Fig. S6](#)). Future analyses will be required to determine the significance of these associations to COVID-19.

Next, we performed a replication analysis of the 13 variants reported to be genome-wide significantly associated with COVID-19 by the COVID-19 HGI. Since data from the Severe COVID-19 group were shared with the COVID-19 HGI, release 5 of the HGI contains 3815 individuals from our previous analysis. We therefore included only individuals from the Severe COVID-19 GWAS group not contained in the COVID-19 HGI release 5 datasets ([Material and Methods](#) and

**Table 1.** Overview of patients included in the genome-wide discovery analysis

	Italy	Spain	Norway	Germany/Austria
Total N severe COVID-19 patients	1536	1421	262	241
<b>Respiratory support categories N (%)</b>				
Supplemental oxygen only (1)	276 (17.97)	897 (63.53)	80.65	109 (45.23)
Non-invasive ventilation (2)	933 (60.74)	122 (8.62)	3.23	21 (8.71)
Ventilator (3)	320 (20.83)	381 (26.91)	16.13	86 (35.68)
ECMO (4)	7 (0.46)	16 (1.13)	0	25 (10.37)
Median age (IQR)—years (1)	75 (21)	69 (21)	59 (20)	63 (23)
Median age (IQR)—years (2)	66 (23)	72 (17)	68.5 (10)	68 (20)
Median age (IQR)—years (3)	63 (14)	65 (15)	66 (19)	64 (18)
Median age (IQR)—years (4)	49 (12)	57 (10)	/	56 (12)
<b>Main analysis</b>				
N severe COVID-19 patients	1536	1421	262	241
Female sex—%	32.81	35.88	33.87	26.97
Median age (IQR)—year	67 (22)	68 (18)	59.5 (21)	63 (19)
Hypertension—% affected (% missing)	40.55 (21.16)	49.6 (1.91)	41.3 (25.81)	53.09 (32.78)
CAD—% affected (% missing)	17.09 (21.16)	10.16 (1.98)	25.81 (0)	15.23 (37.34)
Diabetes—% affected (% missing)	14.86 (21.16)	24.55 (1.91)	14.52 (0)	19.63 (32.37)
<b>Subtype analysis</b>				
N severe COVID-19 patients	1260	519		132
Female sex—%	29.68	27.55	/	22.73
Hypertension % affected (% missing)	38.26 (23.25)	52.19 (3.28)	/	62.96 (38.64)
CAD—% affected (% missing)	16.03 (23.25)	12.55 (3.28)	/	18.75 (39.39)
Diabetes—% affected (% missing)	14.58 (23.25)	25.3 (3.28)	/	19.75 (38.64)

Overview of patients included in our first analysis (3260 patients) and second analysis (1911 patients). Individuals of the Italian, Spanish, Norwegian and German/Austrian cohorts were recruited at 5, 7, 8 and 10 different hospitals/centers, respectively. Shown are respiratory support status groups 1–4, age and median age across all individuals as well as within each respiratory support group, percentage of females within each cohort, as well as percentage of individuals affected by known comorbidities of COVID-19. Commonly reported comorbidities in COVID-19 are shown, hypertension, CAD and diabetes. Characteristics of control individuals are shown in [Supplementary Material, Table S2](#).

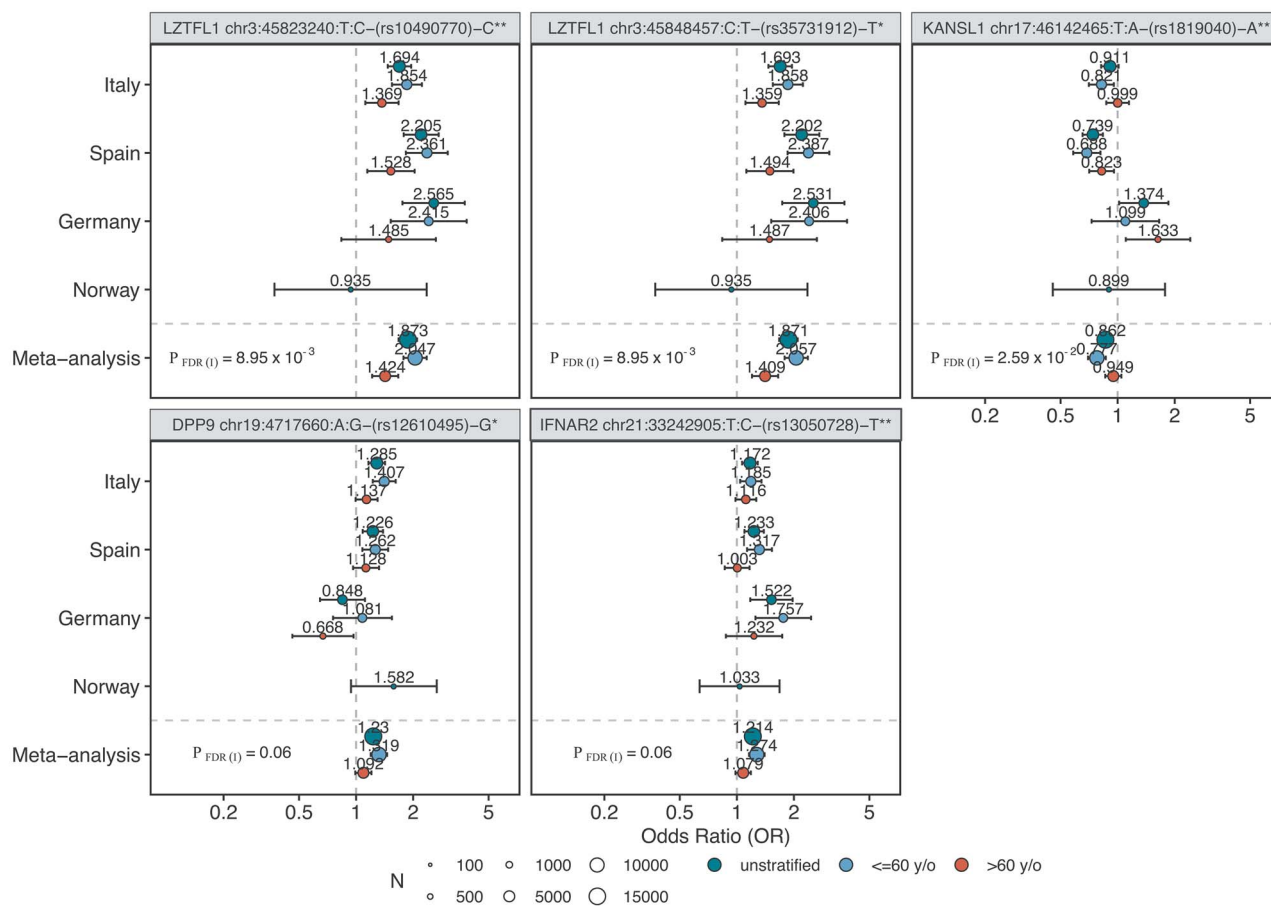
**Table 2.** Candidate variants from the main and subtype analysis

	snpid	rsid	A1	A2	FRQ	OR	OR_95L	OR_95U	PPR	P	gene
Main analysis	chr3:45848457:C:T	rs35731912	T	C	0.09	1.784	1.723	1.847	1.000	2.32E–21	LZTFL1
	chr19:10351837:C:T	rs11085725	T	C	0.273	1.269	1.254	1.283	1.000	1.02E–10	TYK2
	chr9:133261703:A:G	rs687289	A	G	0.358	1.240	1.219	1.262	1.000	4.49E–10	ABO
	chr19:4717660:A:G	rs12610495	G	A	0.27	1.216	1.188	1.244	0.990	9.91E–08	DPP9
	chr9:14754866:A:G	rs7023573	A	G	0.285	1.207	1.185	1.231	0.954	5.21E–07	FREM1
	chr17:45933112:G:A	rs8065800	G	A	0.328	1.194	1.145	1.247	0.925	8.90E–07	MAPT
	chr4:30946048:T:C	rs12512667	C	T	0.444	0.850	0.828	0.873	0.922	8.95E–07	PCDH7
Subtype analysis	chr19:10351837:C:T	rs11085725	T	C	0.272	1.309	1.294	1.325	0.999	3.24E–09	TYK2
	chr19:4717660:A:G	rs12610495	G	A	0.267	1.286	1.257	1.316	0.993	2.87E–08	DPP9
	chr13:55031860:T:C	rs111671068	C	T	0.012	2.802	2.794	2.810	0.806	1.43E–07	OLFM4
	chr9:133271182:T:C	rs550057	T	C	0.266	1.262	1.238	1.286	0.939	4.56E–07	ABO
	chr18:7897309:A:C	rs17565758	C	A	0.078	1.510	1.470	1.550	0.938	4.84E–07	PTPRM
	chr21:33247902:C:T	rs2834161	C	T	0.371	1.250	1.175	1.331	0.923	6.10E–07	IFNAR2

We show only variants that have a PPR > 0.8 in the MAMBA analysis. A more detailed overview of all variants is shown in [Supplementary Material, Table S3](#). snpid: id of the marker as chr:pos:alleles, genome build hg38; A1: minor allele from TopMed imputation; A2: major allele from TopMed imputation; OR/OR\_95L/OR\_95U: OR and 95% CI of A1; PPR: posterior probability of replication; P: P value of association.

[Supplementary Methods](#)) for this analysis. 8 of the 13 variants reported as genome-wide significant by the COVID-19 HGI (7) replicated at least a nominal P-value of 0.05 (located near LZTFL1, ABO, TYK2, DPP9, IFNAR2, MAPT/KANSL1, OAS1; [Supplementary Material, Table S5](#)). Subsequently, we performed a fixed-effect inverse variance-weighted meta-analysis using METAL (13) across the main (hospitalized with respiratory support) analysis and COVID-19 HGI B2 statistics as well as the subtype analysis (critically ill: hospitalized with mechanical ventilation) and COVID-19 A2 statistics, resulting in a total of 1 320 760 (14 467 and cases and 1 306 293 controls) and 725 601 (6526 cases and 719 075 controls) analysed individuals in the respective

analyses as well as 9 163 456 and 9 309 373 high quality variants (imputation  $R^2 \geq 0.6$  in our cohorts and overall MAF  $\geq 1\%$ ; [Supplementary Material, Figs S7 and S8](#)). This analysis prioritized rs1819040 at the 17q21.31 locus within the KANSL1 gene as the most strongly associated variant in this region ( $P = 3.27 \times 10^{-11}$  for rs1819040; OR = 0.88 for minor allele T; 95%CI = 0.84–0.92) over rs8065800 from the main analysis ([Supplementary Material, Tables S3, S4 and S6](#)). The meta-analysis of our subtype analysis with the COVID-19 HGI A2 summary statistics revealed an additional genome-wide significant locus not previously associated with severe COVID-19, chr19:50379362:T:C (rs1405655,  $P = 3.25 \times 10^{-8}$ ; OR = 1.09; 95%CI = 1.06–1.13) located near the NAPSA



**Figure 1.** Forest plot of candidates from the in-depth stratified analysis. The plots show the variants chr3:45823240, chr3:45848457:C:T, chr17:46142465:T:A which were significantly associated with age (interaction  $P$ -value [ $P_{FDR(1)} < 0.05$ ]) when comparing age groups  $\leq 60$  and  $> 60$ . We additionally show variants chr19:4717660:A:G and chr21:33242905:T:C with insignificant, though strong trends for association with age. ORs and their respective 95% confidence intervals (CIs) are visualized for each of our four cohorts separately. The size of the dots indicates the size of the respective cohort (N). The OR value is displayed in addition as a numerical value. Only cohorts in which  $N > 50$  in both cases and controls are shown. The headers are built as follows: gene variant id (chr:pos(hg38):allele)—rs-id—effect allele \*\*/\*\*. \* indicates a variant that was observed as associated from data in this study; \*\* indicates a variant that was observed as associated in the release 5 of the COVID-19 HGI.

gene at 19q13.33 (regional association and forest plots are shown in [Supplementary Material, Fig. S9, Table S6](#)). Bayesian fine-mapping analysis of this locus with FINEMAP (15) ([Material and Methods](#)) identified a total of 15 ( $\log_{10}(\text{Bayes factor}) = 5.95$ ) variants that belong to the 95% most likely to be causal ([Fig. 1, Supplementary Material, Table S7](#)), indicating that a small number of SNPs could be considered causal in subsequent analyses.

### In-depth stratified analysis of lead variants

To estimate differences in genetic effects across different age groups and different biological sex categories, we performed an in-depth stratified analysis for selected variants. These included genome-wide and suggestively significant variants from this study (LZTFL1, ABO, TYK2, DPP9; MAPT, IFNAR2; 7 lead variants in total from the main and the subtype analysis). We additionally included all of the 13 variants from the COVID-19 HGI release 5 analysis (7) and the novel association, chr19:50379362:T:C, at the NAPSA locus. A detailed overview of these loci is shown in [Supplementary Material, Table S8](#). We additionally investigated the association of these variants to known comorbidities

such as hypertension, CAD and diabetes in cases only and performed sex-stratified analysis within age groups and with respect to disease severity groups. We performed interaction analysis of the SNP with sex and age (age group  $\leq 60$  and  $> 60$ ), respectively, to investigate sex-specific and age-specific effects, i.e. to determine whether differences in effects within these groups were statistically significant ([Materials and Methods and Supplementary Methods](#)). Results of these analyses are shown in [Figure 1, Supplementary Material, Tables S8 and S9, Figures S10 and S11](#). We confirmed our previously described associations with age ([Fig. 1](#)) and with disease severity at 3p21.31 for chr3:45848457:C:T (severity:  $P = 9.73 \times 10^{-7}$ , OR = 1.65; 95%CI = 1.35–2.03), which is also discussed in detail in a study by Nakanishi *et al.* (16). Differential association with age was also observed for chr17:46142465:T:A (rs1819040, MAPT) ([Fig. 1](#)). Strong but non-significant trends for an association were also observed for chr19:4717660:A:G (rs12610495; DPP9) at the 19p13.3 locus, and chr21:33242905:T:C (rs13050728; IFNAR2) which was significantly associated with severe COVID-19 only in younger individuals before correction for multiple testing ([Fig. 1](#)). We analysed these 5 variants



even more in-depth within the age groups of 41–50, 51–60 and 61–70 as well as 41–60 and 61–80 (Supplementary Material, Fig. S10C) and observed a tendency for stronger effects in the younger population at the four loci. Interestingly, the German population showed opposite effect sizes than the other cohorts in the broad age group analysis for chr17:46142465:T:A (see also below).

We did not observe any statistically significant associations with age, sex, severity or comorbidities for the remaining analysed lead variants. Additional age and sex stratified forest plots of this analysis are shown in Supplementary Material, Figure S11A and B.

As the genome-wide significant association near *LTZF1*, *TYK2*, *DDP9* and *ABO* have been followed up in detail before, we next focused on the novel association at the 19q13.33 (NAPSA) locus from the meta-analysis with COVID-19 HGI release 5 summary statistics and performed an in-depth analysis of the 17q21.31 (*MAPT*, *KANSL1*) locus associated with age. The 17q21.31 locus has been previously reported to be linked to a common inversion polymorphism of two highly divergent haplotypes H1 and H2 (17). Indeed, Bayesian fine-mapping (15) at this locus showed that the 95% credible set includes 1530 variants among these chr17:45933112:G:A (rs8065800) from our initial discovery analysis and chr17:46142465:T:A (rs1819040) from the COVID-19 HGI analysis with certainty <0.3%, indicating, based on the overlap of LD boundaries of highly associated SNP variants with the inversion boundaries (Fig. 2A), that the individual SNP associations may be proxy variants for the associated inversion polymorphism.

We therefore imputed the inversion haplotypes H1 and H2 for our cohorts by genotype imputation with IMPUTE2 (18), employing as reference 109 individuals from the 1000 Genomes Project for which 17q21.31 inversion genotypes were obtained experimentally by FISH and droplet digital polymerase-chain-reaction (PCR; Material and Methods). LD between the chr17:46142465:T:A (rs1819040) variant, which was prioritized over chr17:45933112:G:A (rs8065800) in the meta-analysis with the COVID-19 HGI summary statistics, and the inversion in our cohorts is near perfect ( $r^2 = 0.98$ ,  $D' = 0.99$ ). Genome-wide significant association with severe respiratory COVID-19 for the inversion was confirmed using logistic regression followed by meta-analysis across this study's panels and summary statistics derived for the from the COVID-19 HGI data (Materials and Methods) (meta-analysis main analysis and COVID-19 HGI release 5 B2:  $P = 7.61 \times 10^{-10}$ , OR = 0.89; 95%CI = 0.84–0.92; meta-analysis subtype analysis and COVID-19 HGI release 5 A2:  $P = 1.5 \times 10^{-4}$ , OR = 0.90; 95%CI = 0.85–0.95; Fig. 2B, Supplementary Material, Table S10). Using stratified analysis, we also show an age effect for the inversion (Supplementary Material, Table S7, Fig. S10). The opposite effects direction observed for the German cohort for linking SNP chr17:46142465:T:A was shifted for individuals aged 40–61 when analysing the inversion. We did not observe any association of the inversion with disease severity.

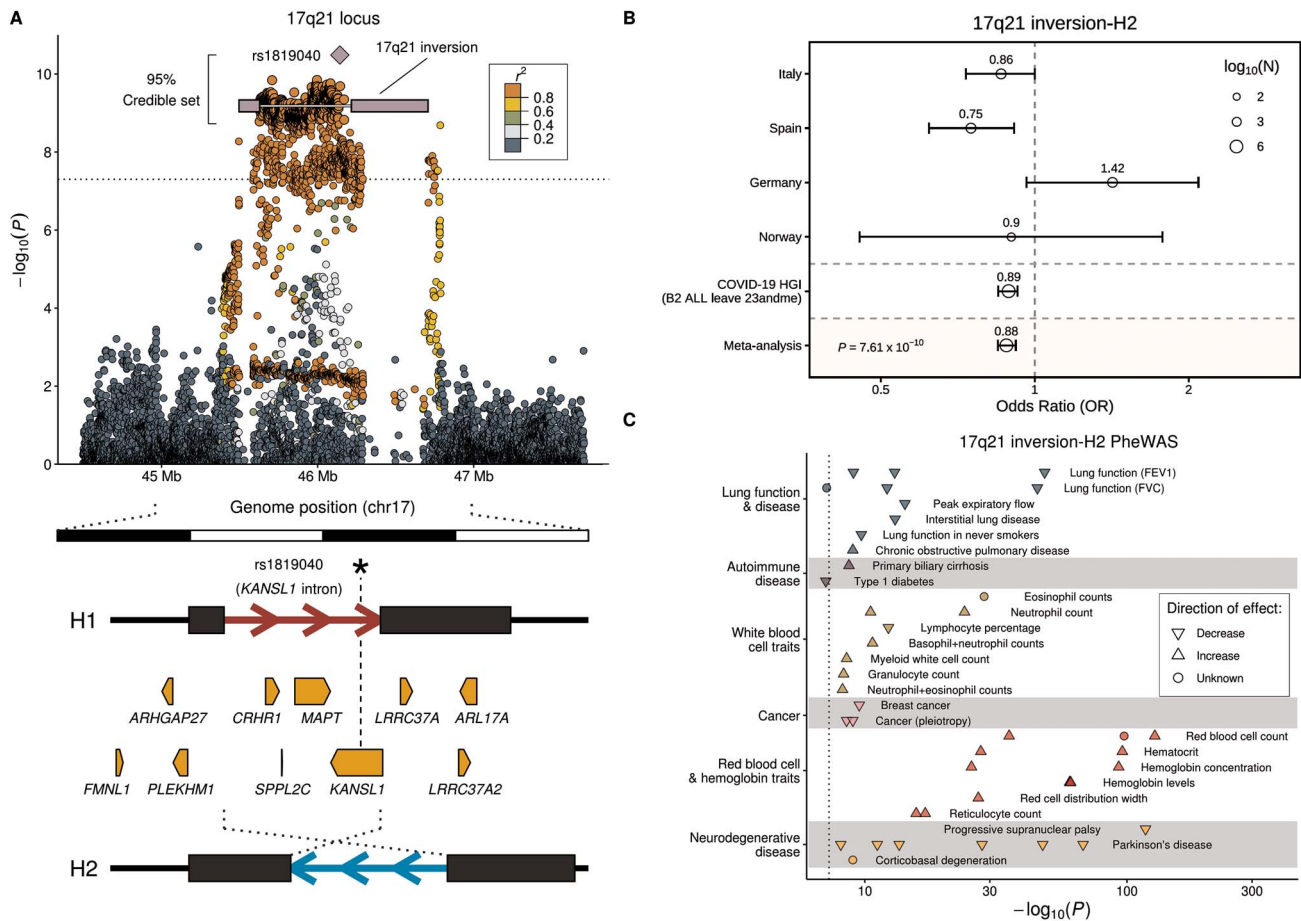
## Functional analysis of 17q21.31 and 19q13.33 using publicly available datasets

We next performed several follow-up analyses to better understand possible functional implications of the associations at the 17q21.31 inversion and at the novel 19q13.33 locus, including a phenome-wide association study (PheWas) and exploratory gene expression analysis.

We queried variants in high LD ( $r^2 > 0.9$ ) with chr19:50-379362:T:C (rs1405655) or the 17q21.31 inversion, using a wide range of phenotypes from the NHGRI GWAS Catalog (Material and Methods) (19). While no known phenotypes were found to be linked to chr19:50379362:T:C (rs1405655) or its proxy variants, 161 GWAS associations were identified for variants in high LD ( $r^2 > 0.9$ ) with the 17q21.31 inversion, illustrating its multiple effects (Supplementary Material, Table S11). These associations included several traits potentially related to COVID-19 pathology, such as blood and immune cell composition or lung function (Fig. 2C).

Variants within the credible set from Bayesian fine mapping at 19q13.33 overlap with several genes, including for instance NAPSA, NR1H2 and KCNC3, while the 17q21.31 inversion spans multiple genes including *MAPT*, *KANSL1*, *FMNL1* and *CRHR1* (Supplementary Material, Figs S4, S5 and S9 and Fig. 2). To identify possible target genes relevant in COVID-19 disease pathology, we performed an exploratory gene expression analysis using several publicly available datasets to: (i) examine the direct effect of both loci on gene expression by analysing colocalization of lead SNPs with expression and splicing quantitative trait loci (eQTL and sQTL), (ii) identify in which tissues or cell types our candidate genes are expressed by analysing their RNA expression at bulk and single-cell levels and (iii) infer the possible contribution of these genes to COVID-19 pathology by looking at their expression patterns in (a) monocytes exposed to different viral and non-viral immune stimulators; (b) organoids infected with COVID-19 and (c) single-cell RNA-seq of lung and other tissues from patients who died after experiencing a SARS-CoV-2 severe disease (Material and Methods). Results of these analyses are displayed in Figure 3.

The potential functional role of the 17q21.31 inversion is supported by the fact that the inversion locus (chr17:45883776 ± 75 kb) strongly colocalizes (regional probability > 0.9) with eQTLs and sQTLs (i.e. displaying the strongest association with the target), for 36 (eQTL) and 15 (sQTL) genes, respectively (Fig. 3A, Supplementary Material, Table S12, Fig. S12). Expression patterns of protein-coding genes with eQTLs associated to the inversion are shown in Supplementary Material, Figure S13, with the best candidates to play a role in the effects of the inversion on COVID-19, including *MAPT*, *KANSL1*, *FMNL1* and *CRHR1*, being summarized in Figure 3. Many of these genes are highly expressed in neural tissues and testis (Supplementary Material, Fig. S13). However, several of them are also expressed in major immune cell types in COVID-19 relevant tissues

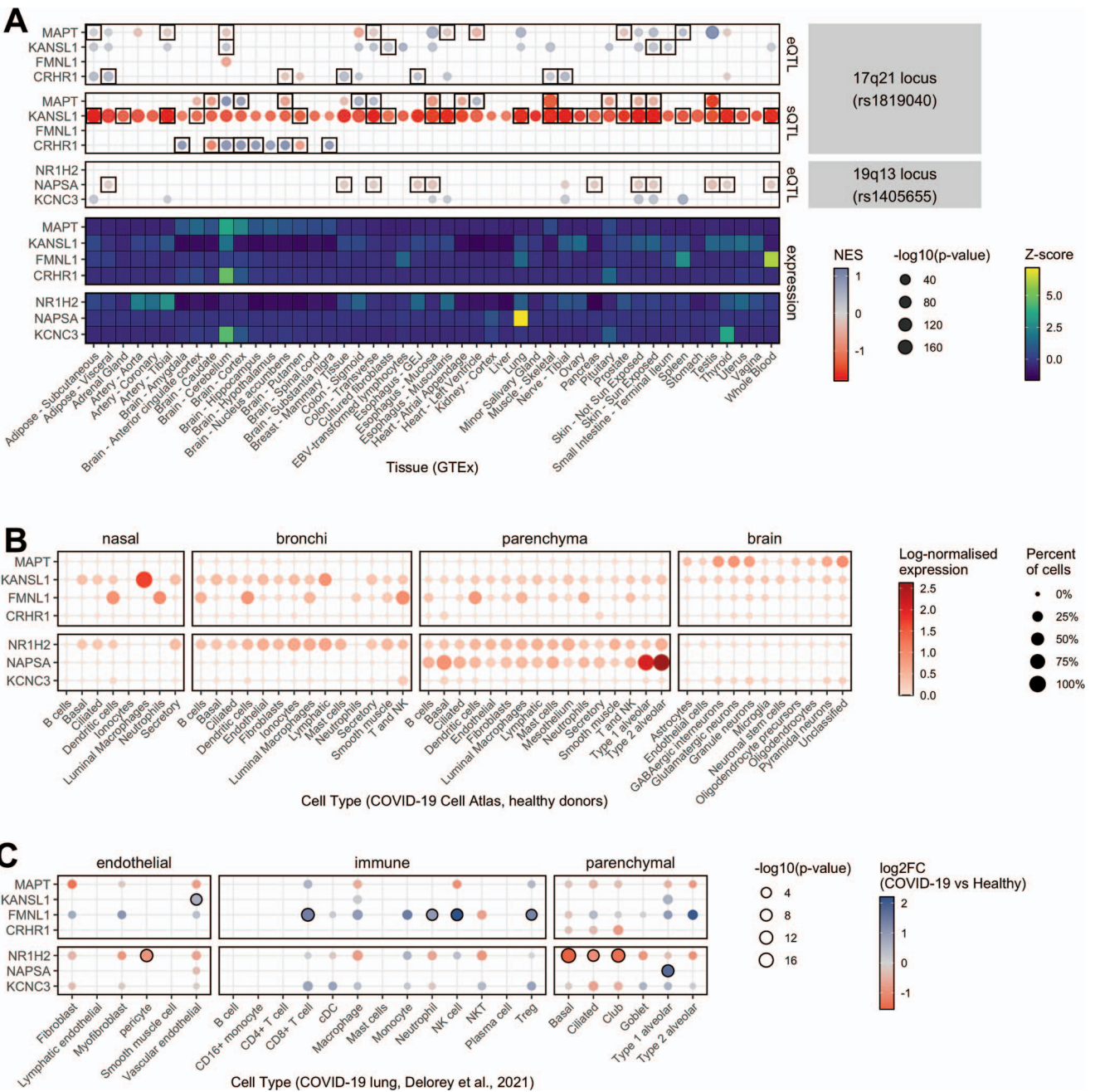


**Figure 2.** Association of the 17q21.31 locus with severe COVID-19 with respiratory failure. **(A)** Regional association plot showing the variant most strongly associated with severe COVID-19 (rs1819040, purple diamond) a ~0.9 Mb inversion polymorphism at 17q21.21 (56) (white line with blue rectangles representing the variable segmental duplication (SD) blocks at the breakpoints), and the large credible set obtained by statistical fine-mapping including 2178 SNPs in high LD (median [LD]=0.97) with the inversion (Supplementary Material, Table S7). Pairwise LD values ( $r^2$ ) with lead variant rs1819040 were calculated from merged Italian, Spanish, German/Austrian and Norwegian GWAS main analysis datasets. The dotted line indicates the genome-wide significance threshold ( $P = 5 \times 10^{-8}$ ). Below, organization of the 17q21.31 inversion genomic region, with the extended haplotypes associated with each orientation (H1 and H2) shown as red and blue arrows, respectively, and breakpoint SDs as dark rectangles. Protein-coding genes for which the inversion is a lead eQTL in at least one GTEx tissue are shown as pointed rectangles indicating the direction of transcription. **(B)** Forest plot and extended meta-analysis of our first discovery analysis and the COVID-19 HGI release 5 analysis B2 dataset (Material and Methods) of the association between severe COVID-19 and the 17q21.31 inversion based on the presence relative to the absence of the inversion haplotype H2. We visualized the ORs and their 95% confidence intervals (CIs) across all analysed cohorts of the main analysis and the COVID-19 HGI release 5 analysis B2 data. In this analysis, the overlap between the main analysis cohort and the COVID-19 HGI data was excluded from the main analysis cohort. The OR value is displayed in addition as a numerical value. The size of the dots indicates the size of the respective cohort (N). **(C)** Phenome-wide association study (PheWAS) results for the 17q21.31 inversion allele H2 showing only potentially COVID-19-related phenotypes from the GWAS Catalog ( $P = 10^{-7}$ ) grouped by disease categories using different colors. The effect direction of known SNP-trait associations from the corresponding GWAS is shown using triangles pointing upward (increase) and downward (decrease), whereas dots represent unknown effect direction. Phenotypes shown were selected according to previously reported COVID-19 links with lung damage, blood cell alterations and exacerbated immune response, as well as some potential co-morbidities. The whole list of phenotypic associations is included in Supplementary Material, Table S11.

(Fig. 3B, Supplementary Material, Fig. S13A/B), such as KANSL1, which is expressed in lung tissue-resident alveolar macrophages, or FMNL1 (Fig. 3B, Supplementary Material, Fig. S13B). These two genes especially show significantly higher expression in different lung cell types in acute COVID-19 patients who died with the disease as compared with healthy controls (20) (Fig. 3C, Supplementary Material, Fig. S13C). Moreover, RNA-seq data of monocytes under different bacterial and viral stimuli that activate toll-like receptor pathways, including bacterial lipopolysaccharide, Pam<sub>3</sub>CSK<sub>4</sub>, R848 and influenza A virus, show expression changes in several genes affected by the inversion (21) (Supplementary Material, Fig. S14,

Table S13). For example, KANSL1, that could have anti-inflammatory effects, shows higher expression in H2 carrier monocytes stimulated with Influenza A virus infection-like conditions which appears to be related to a significant increase of coding and non-coding isoforms (Supplementary Material, Fig. S15). Similarly, in SARS-CoV-2 infected brain organoids, the expression of MAPT was significantly downregulated in premature and mature neuronal cells (Supplementary Material, Fig. S16, Table S14).

In the case of the 19q13.33 locus, expression of candidate genes shows high tissue specificity, with NAPSA mRNA being specific to lung and lung parenchyma



**Figure 3.** Expression analysis of the most plausible candidate genes associated with the 17q.21.31 and 19q.13.33 loci in organ tissues and COVID-19 relevant cell types. **(A)** GTEx tissue-specific expression QTL (eQTL, upper panel) and splicing QTL (sQTL, middle panel) effects of the 17q21.31 and 19q.13.33 loci on selected candidate genes as well as expression of these genes in GTEx (20) tissues (lower panel). The direction of the normalized eQTL and sQTL effect size (NES) of the lead SNP rs1405655 and the inversion tagger rs62055540 in perfect LD with the inversion is represented by color intensities, and statistical significance by dot size. Black rectangles indicate genes for which the expression colocalizes (regional probability > 0.9) with GWAS loci in a given human tissue from the GTEx dataset. Heatmap displays gene-wise centered median by tissue expression values (represented by color intensities), showing in which tissues candidate genes are mostly enriched. **(B)** Expression levels of candidate genes in scRNA-seq datasets from healthy upper airways (nasal, bronchi) and lung (parenchyma) cells (47) and adult human brain cells from recently deceased, non-diseased donors (48). Figure displays log-normalized mean expression (represented by color) and fraction of cells expressing those genes (indicated by dot size). Processed and cell-type-annotated gene expression levels from studies were retrieved from COVID-19 Cell Atlas (49). **(C)** The figure shows differential expression of candidate genes in lung cells of COVID-19 patients compared with healthy controls.  $\log_2$  fold change ( $\log_2\text{FC}$ ) values are presented as color gradient. Nominal P-values in  $-\log_{10}$  scale are shown proportionally to dot size. Black-bordered circles indicate significantly differentially expressed genes after FDR correction. Results were obtained from pseudo-bulk differential expression analysis by Delorey et al. (21). More detailed figures are shown in Supplementary Material, Figures S11, S12 and S15.

and *KCNCC3* being highly expressed in brain and thyroid tissue, while *NR1H2* is more broadly expressed among human tissues, including many immune cell types (Fig. 2B, Supplementary Material, Fig. S12). Of those candidates, expression of *KCNCC3* and especially *NAPSA*

appears to be clearly affected by the rs1405655 lead SNP which is also displayed by strong colocalization [regional probability > 0.9] of the loci with eQTLs in multiple tissues (Fig. 3A). Single SNP Mendelian randomization analysis using cis-eQTL data and assessment of effect

heterogeneity identified higher expression of NAPSA as a potentially causal protective factor for severe COVID-19 (Beta =  $-0.39$ ;  $P = 1.26 \times 10^{-7}$ ) (Supplementary Material, Table S15). Notably, NAPSA shows significantly increased expression in type 1 alveolar cells of COVID-19 patients as compared with healthy controls (20) (Fig. 3, Supplementary Material, Fig. S13). On the other hand, the NR1H2 gene is significantly down-regulated in some parenchymal (basal, ciliated, club) and endothelial (pericyte) lung cells and is up-regulated in monocytes of COVID-19 patients when compared with healthy controls (Fig. 3C, Supplementary Material, Fig. S13) (20).

### Association analysis of specific candidate genomic regions: ABO locus, HLA locus and Y-chromosome haplogroups

The ABO locus has one of the most significant associations with COVID-19 infection, as highlighted in several publications (5,7). In our initial publication, we showed that the genetic association at the ABO locus could be explained by differences in the actual ABO blood type. ABO secretor status, i.e. secretion of ABO antigens into body fluids, is determined by genetic variation in the FUT2 gene. Recent studies suggest that A secretors have a higher risk of COVID-19 susceptibility (22). Here, we sought to replicate this finding (Material and Methods) 81% of all individuals were secretors irrespective of blood group on average across all control cohorts. In our study, though not statistically significant in an interaction analysis of blood group and secretion status, a trend was observed for increased risk of COVID-19 infection for A secretors when comparing COVID-19 cases to the general population (A secretors: OR = 1.32; 95%CI = 1.20–1.46; A non-secretors: OR = 1.08; 95%CI = 0.91–1.28) (Supplementary Material, Table S16). B secretion was not significantly associated with COVID-19 susceptibility and both A/B secretion were not significantly associated with disease severity.

The HLA fine-mapping approach yielded no association at the genome-wide or nominal ( $P < 10^{-5}$ ) significance threshold, neither in the overall meta-analysis across the four cohorts nor within the separate cohorts (Supplementary Material, Table S17, Fig. S17). Furthermore, we found no significant association for any HLA-presented viral peptide in a so-called PepWAS approach (Supplementary Material, Table S18, Figs S18 and S19), where associations between HLA-presented peptides and disease are unraveled by integrating similarities and differences in peptide binding among HLA alleles across patients nor robust statistical associations with any of the other tested HLA parameters (Supplementary Material, Table S19).

With male sex identified as a risk factor for severe COVID-19 and COVID-19-related death (3), we explored possible associations between genetic variants on the Y chromosome and the risk of developing severe COVID-19 and COVID-19 mortality in males (3). Variations on the

Y chromosome describe so-called Y-chromosome haplogroups with letters A–Z (defined by the Y Chromosome Consortium) (23) and follow a pattern of ancestral population migrations in Europe and on a global scale.

Results of the Y-chromosome haplogroup analysis are shown in Supplementary Material, Table S20. We did not observe any statistically significant or consistent results for Y chromosomal haplotype association with COVID-19 across the Spanish, Italian and German populations in our main or subtype analysis after correction of P-values for multiple testing using Bonferroni. We found that cohort-specific suggestive ( $P < 0.05$ ) associations within the Italian population for the R1b haplogroup were partially driven by single genotyping batches with diverging haplogroup frequencies (i.e. high coefficient of variation between control batches). COVID-19-related mortality showed a strong trend for association with haplogroup R1b1a2a1 (U106) ( $P = 9.49 \times 10^{-3}$ , OR = 2.8, 95%CI = 1.29–6.2) in both the Spanish and Italian populations. This association remained after adjusting for the comorbidities hypertension, CAD and diabetes. COVID-19-related death remains, however, a challenging endpoint influenced by many factors.

### Discussion

We here present a large collaborative COVID-19 genetics study of different centers from Italy, Spain, Norway and Germany/Austria. With our clearly defined phenotype of severe respiratory COVID-19, a centralized genotyping and rigorous QC, we have generated a valuable resource for further COVID-19-related genetic studies. By conservatively including only severely affected COVID-19 patients in our analysis, we aimed to balance out a potential bias from selection of controls from the general population, which is however general practice in GWA analyses. Our study replicates known associations with COVID-19 from our previous and other studies and suggests associations at four novel loci, PCDH7 at 4p15.1; FREM1 at 9p22.3; OLMF4 at 13q21.1 and PTPRM at 18p11.23. These associations do not replicate in the COVID-19 HGI release 5 statistics despite their high level of replicability across all our different cohorts. Future studies in independent cohorts will be required to confirm their association to COVID-19 disease.

Genome-wide meta-analysis of COVID19 HGI release 5 summary statistics with our data revealed a so-far unreported association at the 19q13.33 locus, near the gene NAPSAs. Our in-depth stratified analysis showed significant age-associations for LZTFL1 at the 3p21.31 locus and the inversion at 17q21.31. Effects of age in genetic variation are frequently observed in genetic studies; however, reasons for this are not clear. Possible models are discussed in detail in Jiang *et al.* (24).

Our functional analysis focused on two loci of interest associated with severe COVID-19, the previously known 17q21.31 inversion and the 19q13.33 locus, including the NAPSAs gene. For the novel association at the 19q13.33

locus, additional analyses provided first hints for a functional involvement in COVID-19 through its regulation of the *NAPSA* gene. *NAPSA* encodes for a protease highly expressed in Type 1 (AT1) and Type 2 (AT2) alveolar cells, where two cell types required for the gas exchange at the lung surface and the secretion of surfactant proteins as well as immunomodulatory factors (AT2) (25). Complementary findings were observed in another recent study dissecting the lung transcriptome of COVID-19 infected patients in which *NAPSA* expression is increased in AT1 cells. This study also linked *NAPSA* to the marker gene expression signature of 'damage-associated transient progenitors', an intermediate cell state between AT1 and AT2 cells promoted by inflammation, characterized by a failure of AT2 cells to differentiate to AT1 cells (26). Thus, given that the *NAPSA* protein is involved in lung surfactant production, which is dysregulated in COVID-19 (27), the fact that the COVID-19 risk allele is associated with decreased *NAPSA* expression, while increased expression of *NAPSA* is a protective factor for severe COVID-19, suggests a potential role of *NAPSA* in susceptibility for severe COVID-19. These findings are in line with a recent report in which *NAPSA* has been identified as a candidate gene for COVID-19 severity through a cross methylome omnibus test combined with S-PrediXcan analyses in blood tissue and integrative multiomics (28), as well as with another recent report in which summary-based Mendelian randomization analysis showed an inverse correlation between the expression of *NAPSA* in blood and COVID-19 severity (29).

Though we cannot prove causality of the 17q21.31 inversion polymorphism in COVID-19 disease, it is linked to many traits potentially relevant to COVID-19 outcome. For instance, the inverted haplotype H2 was previously associated with higher number of red blood cells and hemoglobin levels, whereas each haplotype correlates with different proportions of lymphocytes and granulocytes, which could potentially modulate the immune response during SARS-CoV-2 infection (30). In addition, the H2 protective allele is also associated with decreased lung function and increased risk of chronic obstructive pulmonary disease but protects against the development of pulmonary fibrosis (31), and is associated with higher ventilatory response to corticosteroids in individuals with asthma (32), showing potential trade-offs and shared pathways that may be important in lung health. This variant has been proposed to be under positive selection in Europeans through its effect on fertility (17). Our results point to a role of this polymorphism in immunity and virus infection defence as well. Interestingly, inversion effects were found to be stronger in the younger age group in both severity classes, which could explain the weaker association in the HGI more severe A2 phenotype due likely to a larger proportion of older individuals (7). The inversion probably affects the COVID-19 disease course through its large effects on gene expression shown by us and others (7). Although the

function of many of the affected genes is not well known, the inversion acts as an eQTL and sQTL of several interesting candidate genes for severe COVID-19. In particular, there are several genes potentially associated with immune function and immune response. For example, *KANSL1*, involved in histone acetylation, is broadly expressed in many types of immune cells in upper airways and lung tissue (Fig. 3) and has been proposed to play a role in the macrophage transition to an anti-inflammatory phenotype in mice (33). Here, we have found that the expression decrease in infection-like stimulated monocytes is partially compensated in homozygotes for the H2 inversion haplotype. *CHRH1*, which is associated to higher expression in the H2 haplotype in several tissues (Fig. 3), encodes a receptor that binds to corticotropin-releasing hormones, which are major regulators of the hypothalamic-pituitary-adrenal axis, and regulates immune and inflammatory responses (34). Finally, *FMNL1*, which is also located in the inversion locus, shows high expression levels in macrophages, dendritic cells and B and T lymphocytes in different COVID-19-related tissues (Fig. 3) and it is involved in cell motility and T cell trafficking (35). In addition, many of the genes in the 17q21.31 inversion regions show a predominant expression in brain tissues, which could also play an important role in COVID-19. The clearest example is *MAPT*, which is downregulated in SARS-CoV-2 infected neuronal cells (Supplementary Material, Fig. S16) and lung-related cells and tissues. The H2 haplotype is linked to increased expression of *MAPT* in the lung and its *MAPT* exon 3 in brain tissues (36), which could compensate for the downregulation during viral infection and have a protective effect against COVID-19. However, despite the potential implication of these and other genes, it is not possible to single out just one as the most likely candidate. In fact, inversions are well-known for keeping together a combination of alleles from different genes that generate complex phenotypic traits in different organisms (37,38).

Our hypothesis-driven analysis of associations in the HLA, as well as COVID-19-specific PepWAS analyses, yielded no significant results, indicating no major role for HLA variability in mediating the severity of COVID-19 in our cohorts. These results are in line with a recent, and so-far the largest, HLA analysis from Shachar *et al.* (39). Within our analysis of Y-chromosome haplogroups, none of the results remain significant after correction for multiple testing, though single haplogroups showed trends for association (Supplementary Text) and larger study samples are necessary to obtain reliable conclusions. For individual haplogroups, we observed different frequencies between batches that may also arise from different versions of the same genotyping platform. In general, the analysis of Y-chromosomal disease-relevant SNPs is mainly neglected in GWAS, hence the curation of Y-chromosomal SNPs on genotyping arrays is potentially also a confounding factor. Therefore, to gain more knowledge regarding the potential role of the

Y-chromosome haplogroups in disease in general, this should be investigated in more depth.

In summary, our findings add to the number of genome-wide significant hits for COVID-19—totalling now 14 independent loci—and provide new insights to the molecular basis of COVID-19 severity that could potentially trigger subsequent and more targeted experiments to develop therapies for severe COVID-19.

## Materials and Methods

### Study participants and recruitment

We recruited 5228 patients with mild to severe COVID-19, which was defined as hospitalization only (mild) or with respiratory failure (severe) with a confirmed SARS-CoV-2 viral RNA PCR test from nasopharyngeal swabs or other relevant biologic fluids, cross sectionally, from intensive care units and general wards at different hospitals from Italy (4 centers,  $N=1857$ ), Spain (6 centers,  $N=2795$ ), Norway (7 centers,  $N=127$ ) and Germany/Austria (8 German, 1 Austrian center,  $N=449$ ). For comparison, we included 13705 control participants from Italy (4 centers,  $N=5247$ ), Spain (3 centers,  $N=4552$ ), Norway (1 center,  $N=288$ ) and Germany (1 center,  $N=3582$ ). Details on the centers and origin of the control panels are shown in [Supplementary Material, Table S1a and b](#). Though all patient samples that were sent to our study center were processed, only the severe COVID-19 individuals were analysed in this study ([Supplementary Material, Table S1e](#)). Respiratory failure was defined in the simplest possible manner to ensure feasibility: the use of oxygen supplementation or mechanical ventilation, with severity graded according to the maximum respiratory support received at any point during hospitalization (1: supplemental oxygen therapy only, 2: noninvasive ventilatory support, 3: invasive ventilatory support or 4: extracorporeal membrane oxygenation) (5).

### Recruiting centers and ethics committee approval IDs

The project protocol involved the rapid recruitment of patient-participants and no additional project-related procedures (we primarily used material from clinically indicated venipunctures) and afforded anonymity, owing to the minimal dataset collected. Differences in recruitment and consent procedures among the centers arose because some centers integrated the project into larger COVID-19 biobanking efforts, whereas other centers did not, and because there were differences in how local ethics committees provided guidance on the handling of anonymization or deidentification of data as well as consent procedures. Written informed consent was obtained, sometimes in a delayed fashion, from the study patients at each center when possible. In some instances, informed consent was provided verbally or by the next of kin, depending on local ethics committee regulations and special policies issued for COVID-19

research. For some severely ill patients, an exemption from informed consent was obtained from a local ethics committee or according to local regulations to allow the use of completely anonymized surplus material from diagnostic venipuncture. Centers from which samples were obtained are listed together with their ethics approval reference numbers from each ethic committee in [Supplementary Material, Table S1b](#).

### Sample processing and genotype calling

DNA extraction was either performed at the Institute of Clinical Molecular Biology (IKMB, Christian-Albrechts-University of Kiel, Germany) or the respective study centers ([Supplementary Material, Table S1a, Supplementary Methods](#)) from whole-blood or buffy coat samples and for a very small subset also saliva. Genotype calling was performed at the IKMB for all samples with the Illumina GenomeStudio Version 2.0 software using cluster definition files GSAMD24v2-0\_20024620\_A1-762Samples-LifeBrain (GSA Version 2.0; 712 189 SNPs), GSAMD-24v3-0-EA\_200034606\_A1 (GSA Version 3.0; 730 059 SNPs) and GSAMD-24v1-0-A\_4349HNR\_Samples (GSA Version 1.0; 700 078 SNPs). After genotyping, a total of 4067 German/Austrian (449 cases/3618 controls), 7347 Spanish (2795 cases/4552 controls), 415 Norwegian (127 cases/288 controls) and 7114 Italian (1857 cases/5247 controls) samples were available with non-missing core-phenotype (COVID-19 case-control status) information. For individuals with missing sex information, sex was inferred from the genotypic sex if possible.

### SNP and sample QC and PCA

Based on initial genotype data, we removed samples with <90% call rate using PLINK (40,41). We additionally removed individuals with non-matching genotypic and phenotypic sex. After genotype calling, a QC procedure was carried out for the Spanish, Italian, Norwegian and German/Austrian case-controls GWAS datasets, respectively. Variants that had >2% missing data, an  $MAF < 0.1\%$  in disease sets or in controls, different missing genotype rates in affected and unaffected individuals ( $P_{Fisher} < 10^{-5}$ ) or deviated from Hardy-Weinberg equilibrium (with a false discovery rate (FDR) threshold of  $10^{-5}$  in controls) (i) across the entire collection with at most one batch being removed or (ii) falling below in two single batches, were excluded. Samples that had overall increased/decreased heterozygosity rates (i.e.  $\pm 5$  SD away from the sample mean) were removed. For robust duplicate/relatedness testing (IBS/IBD estimation) and population structure analysis, we used a linkage disequilibrium (LD)-pruned subset of SNPs on the basis of a set of independent ( $MAF \geq 5\%$ ) SNPs excluding X- and Y-chromosomes, SNPs in LD (leaving no pairs with  $r^2 > 0.2$ ) and 11 high-LD regions as described by Price *et al.* (42). Pairwise percentage IBD values were computed using PLINK. By definition, Z0:  $P(IBD=0)$ , Z1:  $P(IBD=1)$ ,

Z2:  $P(\text{IBD} = 2)$ ,  $Z0 + Z1 + Z2 = 1$  and  $\text{PI\_HAT}: P(\text{IBD} = 2) + 0.5 * P(\text{IBD} = 1)$  (proportion IBD). One individual (the one showing greater missingness) from each pair with  $\text{PI\_HAT} > 0.1875$  was removed. A value of 0.1875 (proportion IBD) corresponds to a theoretical relationship of halfway between the expected IBD for third- and second-degree relatives. To identify ancestry outliers, i.e. subjects of non-European ancestry, we performed PCA for the remaining QCed cases and controls including reference samples from the 1000 Genomes Phase 3 reference panel (43). We used the PCA method, as implemented in FlashPCA (44) on an LD-pruned subset of SNPs (see text above). Ancestry outliers not matching European populations were removed (Supplementary Material, Fig. S1A–F). After QC, PCA revealed no non-European ancestry outliers (Supplementary Material, Fig. S1E–H) when performing PCA.

### SNP genotype imputation

The QCed Italian, Spanish, Norwegian and German GWAS datasets comprised 1563 Italian COVID-19 cases, 4759 Italian controls, 2174 Spanish COVID-19 cases, 4406 Spanish controls, 81 Norwegian cases, 283 Norwegian controls, 336 German COVID-19 cases and 3303 German controls, and contained 567 131 (Italy), 564 856 (Spain), 525 836 (Norway) and 476 562 (Germany/Austria) variants after QC and filtering of SNPs with alleles AT or CG (the latter often leading to strand issues during imputation). Genotype imputation was conducted for chromosomes 1–22 and X data using the novel TOPMed Freeze 5 on genome build GRCh38 and the Michigan Imputation Server (45). We provided the input data in 'vcf.gz' format as GRCh38 build. We used the offered population panel 'ALL' and applied the server-side option to filter by an imputation  $R^2$  with threshold 0.1. The final imputed results contained 80 794 511 variants in the Italian dataset, 75 346 562 variants in the Spanish dataset, 20 195 513 variants in the Norwegian dataset and 53 164 135 variants in the German/Austrian dataset after TOPMed imputation. For the imputation of the X chromosome, we coded males as diploid in the non-pseudoautosomal (non-PAR) region. After QC and imputation using TOPMed, in total, 8 910 172 variants were included for the Italian panel, 9 089 877 variants for the Spanish panel, 8 841 609 variants for the Norwegian panel and 9 019 898 variants for the German/Austrian panel with post-imputation  $R^2 \geq 0.6$  and  $\text{MAF} \geq 1\%$ .

### Statistical analysis

Previous to the statistical analysis, we excluded qc'd individuals with a mild disease phenotype (did not receive respiratory support) or missing age information. This left 1536 Italian COVID-19 cases, 4734 Italian controls, 1416 Spanish COVID-19 cases, 4382 Spanish controls, 62 Norwegian cases, 262 Norwegian controls, 241 German COVID-19 cases and 3110 German controls for analysis (Supplementary Material, Table S1e).

### GWAS and meta-analysis of our main and subtype cohorts

Using genome-wide SNP information, we tested for phenotypic associations with allele dosage data separately for the Italian, Spanish, German/Austrian and Norwegian case-control data. Including age, biological sex, age\*age, biological sex\*age and the first 10 PCs from PCA as covariates, we performed a logistic association analysis assuming additive effects with SAIGE for chromosomes 1–22 and X (12). For chromosome X association analysis, haplotypic allele calls outside the pseudo-autosomal regions (PARs) in males are converted to homozygous calls by doubling the haplotypic allele (assuming inactivation of large parts of 1 of the 2 female X chromosomes (46) and sex is used as a covariate for association testing of the non-PAR regions on chromosome X. For each of the analyses, sample numbers are given in Supplementary Material, Table S1e.

An inverse-variance weighted fixed-effects meta-analysis was conducted with the meta-analysis tool METAL (13) on the cohort of the main analysis including 3255 cases and 12 488 population controls of unknown COVID-19 status from Italy, Spain, Norway and Germany/Austria and the cohort from the subtype analysis including 1911 critically ill cases and 12 226 population controls (Supplementary Material, Table S1e). Only variants that were common to at least 2 datasets with respective post-imputation  $R^2 \geq 0.6$  and that had an overall MAF of  $\geq 1\%$  were considered in the analysis. For each variant, we computed across-cohort heterogeneity  $P$ -values and  $I^2$  values using METAL. We considered a  $P$ -value of  $10^{-3}$  to indicate significant heterogeneity and used a significance threshold of  $P < 10^{-6}$  for joint  $P$ -values to determine suggestive statistical significance and the common threshold of  $P < 5 \times 10^{-8}$  to determine genome-wide significance.

### Replication analysis of 13 release 5 COVID-19 HGI associations

To replicate 13 genome-wide significant associations reported by the COVID-19 HGI (7), we excluded 3837 individuals overlapping between our current study and COVID-19 HGI release 5. We subsequently carried our logistic regression and meta-analysis as described above in 1579 cases and 10 372 population controls for the main analysis and 944 cases and 10 065 population controls for the subtype analysis (Supplementary Material, Table S1e). A threshold of 0.05 was used to define replicability of the results. Meta-analysis with the COVID-19 HGI release 5 summary statistics included up to 12 888 hospitalized cases (including 5582 critically ill cases; COVID19\_HGI\_B2\_ALL\_leave\_23andme\_20210107.txt.gz; COVID19\_HGI\_A2\_ALL\_leave\_23andme\_20210107.txt.gz) and 1 295 966 population controls of the COVID-19 HGI. We performed meta-analysis with METAL as described above. To unify case definitions by our study and the COVID-19 HGI, we performed meta-analysis of summary statistics from our main analysis with COVID-19 release

5 A2 summary statistics and meta-analysis of our subtype analysis and COVID-19 release 5 B2 summary statistics. We used the common threshold of  $P < 5 \times 10^{-8}$  to determine genome-wide significance.

### Bayesian fine-mapping analysis

Statistical fine-mapping analysis was conducted using FINEMAP (15) Version 1.4 for each of the loci of interest to calculate the posterior inclusion probability for each lead SNP and every other SNP within 250 kb flanking regions. In the case where the association signal extended over a larger region, as in the case of 17q21.21, the region was expanded to include this. FINEMAP determined the 95% credible set of SNPs assuming a single causal variant using shotgun stochastic search (—n-causal-snps 1—sss), i.e. the minimum set of variants containing the causal variant with  $\geq 95\%$  certainty. The union of the genotypes of the Italian, Spanish, Norwegian and German/Austrian cohorts was used as the LD reference population.

### Meta-analysis model-based assessment of replicability

We tested the replicability of our candidate variants from the meta-analysis using the Meta-Analysis Model-based Assessment of replicability (14) (MAMBA) method, as single outlier studies can drive a false positive meta-analysis association. MAMBA takes the genetic effects and standard deviations from participating studies to test each SNP for a true non-zero effect. This is achieved by fitting a two-level mixture model to genome-wide LD-pruned SNPs that reduces to a fixed effect meta-analysis in absence of outliers, in which case it has similar power. Since this is a likelihood model, the result is a posterior probability of replicability (PPR) that the SNP has a non-zero replicable effect. If the PPR is low, MAMBA tests for excess in variation of effects sizes and outputs the probability that each study is an outlier. For each of the first and second analyses, we used the lead variants and a genome-wide set of LD-pruned SNPs (PLINK v1.90b6.16 64-bit, —indep-pairwise 500 kb 1 0.1) (40,41) from each participating study for the algorithm to estimate the null distribution. Suggestive variants and LD-pruned background SNPs are entered as a single table and the algorithm has no prior knowledge of which SNPs are considered significant. MAMBA was run with default settings and always terminated before 10 000 iterations. We considered a PPR value  $> 0.8$  to indicate a high probability of replication.

### Association analysis of candidate SNPs

We performed age- and sex-stratified on 7 candidate SNPs from our main and subtype analysis and 13 candidate SNPs from the COVID-19 HGI analysis, as well as the novel variant identified in this study (7). We additionally analysed disease severity and comorbidities hypertension, CAD and diabetes in cases only. We carried out a logistic regression analysis including age, biological sex,

age\*age, biological sex\*age and the first 10 PCs from PCA as covariates dependent on the stratification level (i.e. for sex-stratified analyses, only age and PCs were included; for age-stratified analysis, only the biological sex and PCs were included; detailed formulas are shown in the [Supplementary Methods](#)). All analysis was done in R version 3.6.2. An inverse-variance weighted fixed-effects meta-analysis was conducted using the R-package metafor (47) to combine cohort-specific summary statistics, including only statistics from cohorts with  $N_{\text{Case}}$  and  $N_{\text{Control}} > 50$ . Age was analysed in groups  $\leq 60$  to  $> 60$ , which were chosen in reference to the analyses performed by the COVID-19 HGI. We additionally performed an interaction analysis of SNP and sex as well as SNP and binarized age ( $\leq 60$  versus  $> 60$ ), to determine statistical significance of differences between the groups, respectively ([Supplementary Methods](#)). For variants that showed a significant age effect, we additionally analysed age groups 40–51, 51–60 and 61–70 for the Italian and Spanish cohorts and performed a meta-analysis on the summary statistics from these two cohorts only ([Supplementary Material, Fig. S10](#)). We were interested in observing more detailed age effects in these age intervals with sufficient data for analysis. For the meta-analyses,  $P$ -values were adjusted for multiple testing using the  $P$ -value correction of Benjamini–Hochberg.

### Imputation of the ~0.9-Mb inversion polymorphism at 17q21.31

In 2005, Stefansson and colleagues discovered a 900 kb inversion polymorphism at 17q21.31, a region that contains several genes, including those encoding corticotropin releasing hormone receptor 1 (CRHR1) and microtubule-associated protein tau (MAPT) (17). Chromosomes with the inverted segment in different orientations represent two distinct lineages, H1 and H2, that have diverged for up to 3 million years and show no evidence of recombination (17). For the Italian, Spanish, Norwegian and German/Austrian GWAS discovery cohorts, we inferred the 17q21.31 inversion status (H1 or H2) with IMPUTE v2.3.2 (18) using genotype information and an imputation reference panel consisting of 109 individuals (from different continents [EUR, EAS, AFR]) from the 1000 Genomes Project Phase 3 (43), for which 17q21.31 inversion genotypes, obtained experimentally by FISH (48,49) and droplet digital PCR (50), as well as SNP genotype data are available for the region of the inversion. Imputed inversion genotypes were determined according to the highest posterior probability and were further confirmed by examining consensus genotypes of known inversion tag SNPs in perfect LD ( $r^2 = 1$ ) with the inversion in the imputation reference panel. H1 and H2 alleles were coded according to an additive model as 0, 1 and 2.

We additionally imputed the 17q21.31 inversion for the COVID-19 HGI release 5 A2 and B2 analyses from the files COVID19\_HGI\_A2\_ALL\_leave\_23andme\_20210107.txt.gz and COVID19\_HGI\_B2\_ALL\_leave\_23andme\_20210107.-



txt.gz. Here, the inversion *P*-value was imputed from summary statistics using Fast and accurate *P*-value Imputation for genome-wide association study (FAPI) (51). The odds ratio (OR) and 95% confidence intervals (CIs) were estimated from the SNP rs62061809, which is in perfect LD with the inversion ( $r^2 = 1$ ). The inversion was coded as 0 for the major allele H1 and 1 for the minor allele H2. All association analyses were carried out as described above on the minor allele H2.

## Functional analysis

### Phenome-wide Association study (PheWAS)

Phenotype associations with the different variants were obtained from the NHGRI-EBI GWAS Catalog curated collection of established genome-wide significant disease/trait associations (<http://www.ebi.ac.uk/gwas/>; release 2020-12-02) (19). We queried GWAS hits in high LD ( $r^2 > 0.9$ ) with the inversion as well as hits in high LD ( $r^2 > 0.9$ ) with rs1405655 (19q13.33) and *P*-value  $< 10^{-7}$ . Since each GWAS study is focused on populations from different origins, the LD patterns employed to evaluate the association between the inversion and GWAS signals were based on individuals with the corresponding ancestry or the closest one available from our inversion imputation panel (of 109 experimentally genotyped individuals), whereas the global LD was selected if populations from underrepresented ancestries were studied. 27 proxy variants were used for rs1405655 and 2904 proxy variants were used for the inversion.

### Colocalization of GWAS and tissue-specific expression and splicing quantitative trait loci (eQTL, sQTL)

Colocalization of GWAS and QTL (eQTL and sQTL) data from 49 different human tissues of the GTEx Project (GTEx Analysis Release V8) (19) was performed using the HyPrColoc method (52) implemented in the ezQTL tool (53). The analysis was conducted including all cis-QTL variants falling within  $\pm 75$  kb from coordinates (GRCh38) of the two variants of interest: chr17:46142465:T:A—lead SNP for the 17q21.31 inversion—and chr19:50379362 (rs1405655—lead SNP of the 19q13.33 locus). LD values used in the colocalization analysis were calculated based on the 1000 Genomes population European (2) population only, to account for the ethnic bias of GTEx donors. The loci of tested traits were considered to be colocalized when a regional probability of colocalization was greater than 0.9. Additionally, to assess the actual effect (and its direction) of the variants on neighboring gene expression, normalized effect score (NES) and *P*-values of the two variants (inversion tagged by rs62061809) were retrieved from the GTEx portal.

### Selection and definition of candidate genes at 17q21.31 and 19q13.33

To identify candidate genes most likely to play a causative role at 17q21.31 and 19q13.33, all protein-coding

genes that are located within locus boundaries or that are candidates based on GWAS colocalization with eQTL (eGenes) or sQTL (sGenes)-variants were chosen. More precisely, the boundaries for the 19q13.33 locus were defined by Bayesian fine mapping (GRCh38: chr19:50344768–50379362), while extended boundaries (GRCh38: chr17:45495836–46707123) (54) were used for the 17q21.21.31 locus, since it lies within a  $\sim 0.9$  Mb inversion region of high LD that affects expression and splicing of numerous genes in GTEx (55). To retrieve candidate genes that overlap the boundaries, GENCODE v36 (56) annotations for genome build GRCh38 were used. A complete list of candidate protein-coding genes from both loci is provided in [Supplementary Material, Table S21](#).

### Gene expression analysis for candidate genes from genome-wide significant susceptibility loci

Publicly available bulk tissue and immune cell type RNA-seq data for all available candidate genes were retrieved from GTEx v8 (55) and from the Expression Atlas (57) (BLUEPRINT consortium data [accession E-MTAB-3827] (58)) portals, respectively. Gene-level expression values (transcripts per million, TPM) by tissue or by cell type were obtained as median-summarized in the case of the GTEx data and as mean-summarized in the case of the BLUEPRINT data. The summarized TPM values were centered gene-wise and z-score scaled for visualization using the ggplot2 R-package. The single-cell RNA-seq (scRNA-seq) data in COVID-19 relevant tissues from non-diseased individuals, such as lung and upper airways (59) or brain (60), were obtained from the COVID-19 Cell Atlas (61). The pre-processed and cell type annotated scRNA-seq datasets were retrieved as AnnData objects in h5ad format files. Log-normalized average expression values of available candidate genes by cell type were visualized using the scanpy v1.4.6 package (62). For gene expression analysis of candidate genes in SARS-CoV-2 infected brain organoids, pre-processed and cell-annotated scRNA-seq data were obtained upon request from Song *et al.* (63). Differential gene expression analysis of scRNA-seq data was performed using the R package MAST (64). More precisely, hurdle models were used to evaluate differentially expressed genes in each brain organoid cell type (neural progenitors, interneurons, neurons and cortical neurons) comparing SARS-CoV-2 infected and mock (non-infected) cells. The models were fitted using the condition, sample identification, number of detected genes (centered) and total counts of SARS-CoV-2 transcripts (centered) as covariates, thus adjusting for the cellular detection rate, batch effects and viral load. Genes with PFDR  $< 0.01$  and absolute value of  $\log_2$  fold change  $> 0.1$  were considered as significantly differentially expressed. Finally, the status,  $\log_2$  fold change and *P*-values of candidate gene differential expression in COVID-19-infected lung cells were obtained from pseudo-bulk differential expression analysis performed by Delorey *et al.* (20)

## Analysis and fine mapping of the HLA

Detailed descriptions of this analysis can be found in the [Supplementary Methods](#). In brief, quality-controlled genotypes at the HLA region (chr6:29-34 Mb) were extracted. HLA allele, amino acid and SNP imputation were performed using the random-forest-based HLA genotype imputation with attribute bagging (HIBAG) and applying specially tailored as well as publicly available reference panels (5,65,66). The resulting data were used as a basis for several subsequent analyses, including: (i) basic association analysis (fine mapping) as described in the section Statistical Analysis and the [Supplementary Methods](#), (ii) a peptidome-wide association study (11) (pepWAS), to screen for disease-relevant peptides from SARS-CoV-2, that may present a possible functional link between severe COVID-19 and variation at classical HLA loci, (iii) quantitative HLA analyses directed at the number of peptides bound by an HLA allele as well as (iv) an analysis of HLA-presentation of shared peptides ('molecular mimicry').

## Analysis of the Y-chromosome haplotypes

First, we produced high-quality Y-chromosome genotypes by manually calling and visually inspecting Y-chromosome SNPs in the male individuals from all cohorts only. Next, we used 22 Y-chromosome SNPs to distinguish known Y-chromosome haplogroups as described in the [Supplementary Methods](#) at different haplogroup resolutions. We here focused on haplogroup R, the most prevalent Y-chromosome haplogroup across Europe. Association analysis was carried out as described in the Section Statistical Analysis—Association Analysis of Candidate SNPs on Y-chromosome haplogroups coded as absent (0) or present (1). We additionally analysed the end-point mortality ([Supplementary Methods](#)). The coefficient of variation (CV) across frequencies was calculated for Y-chromosome haplogroups between individual batches (i.e. contributing center/hospital or different versions of the GSA). Here, only frequencies calculated from batches including more than 100 individuals were considered.

## Analysis of the ABO secretor status

ABO blood group typing was performed as described by Ellinghaus *et al.* (5). Briefly, genotypes of the SNPs rs8176747 (c.803C > T, ABO\*B), rs41302905 (c.802G > A, ABO\*O2) and rs8176719 (c.261delG, ABO\*O1) were extracted from the imputed data ( $R^2=1$  for all SNPs and cohorts) and used to infer the A, B and O blood types. The ABO-'secretor' status was inferred from the genotypes of the rs601338 SNP (c.428G > A, FUT2\*01 N.02) at the FUT2 gene, located at 19q13.33 and extracted from the imputed data ( $R^2=0.98-0.99$  for all cohorts). Individuals carrying genotypes GA or GG were assigned secretor status and individuals carrying genotype AA were assigned non-secretor status based on the genotype dosages, ranging from 0 to 2, retrieved from the imputed data. Individuals with allelic dosages 1.3–1.7 were called

as 'no call', individuals with dosages  $\leq 1.3$  were called 'secretors' and individuals with dosages  $\geq 1.7$  were called 'non-secretors'. Association analysis was carried out as described in the Section Statistical Analysis—Association Analysis of Candidate SNPs on blood type or blood type secretor status coded as absent (0) or present (1).

## Availability of summary statistics

The GWAS summary statistics are available through GWAS Catalog (<https://www.ebi.ac.uk/gwas/>), study accession number GCST90129579.

## Supplementary Material

[Supplementary Material](#) is available at HMG online.

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## Authors' contributions

Contributions are shown in the [Supplementary Note](#).

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