

1 **Trajectory Envelope of a Subsea Shuttle**
2 **Tanker Hovering in Stochastic Ocean**
3 **Current - Model Development and Tuning**

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26 **ABSTRACT**

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28 *A subsea shuttle tanker (SST) concept for liquid carbon dioxide transportation was recently proposed to*
29 *support studies evaluating the ultra-efficient underwater cargo submarine concept. One important topic is*
30 *the position keeping ability of SST during the offloading process. In this process, the SST hovers above the*
31 *well and connects with the wellhead using a flowline. This process takes around four hours. Ocean currents*
32 *can cause tremendous drag forces on the subsea shuttle tanker during this period. The flow velocities over*
33 *hydroplanes are low throughout this process, and the generated lift forces are generally insufficient to*
34 *maintain the SST's depth. The ballast tanks cannot provide such fast actuation to cope with the fluctuation*
35 *of the current. It is envisioned that tunnel thrusters that can provide higher frequency actuation are required.*
36 *This paper develops a manoeuvring model and designs a linear quadratic regulator that facilitates the SST*
37 *station-keeping problem in stochastic current. As case studies, the SST footprints at 0.5 m/s, 1.0 m/s, and*
38 *1.5 m/s mean current speeds are presented. Numerical results show that the designed hovering control*
39 *system can ensure the SST's stationary during offloading. The required thrust from thrusters and the*
40 *propeller are presented. The presented model can serve as a basis for obtaining a more efficient design of*
41 *the SST and provide recommendations for the SST operation.*

42

43 *Keywords: subsea shuttle tanker, autonomous underwater vehicle, submarine, hovering, linear quadratic*
44 *regulator, subsea technology*

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46

47 **1 INTRODUCTION**

48 **1.1 Subsea Shuttle Tanker**

49

50 Offshore oil and gas products are commonly transported by submarine pipelines
51 or tanker ships from fields to onshore facilities [1]. Submarine pipelaying has accumulated
52 a significant amount of knowledge and experience since it was first developed, installed,
53 and operated during the Second World War in the United Kingdom [2]. It is considered as
54 an appealing solution for those large offshore oil and gas fields with high revenue and
55 limited step outs. Nevertheless, even with technological advancements, its
56 implementation can still have several limitations. The costs related to design, installation,
57 inspection, and maintenance can be excessively high. Besides, the maintenance of the
58 pipelines demands an entire or sectional shut-in. These limitations make the submarine
59 pipelines unsuitable for remote oil and gas fields with low-profit margins or in deep water.
60 An alternative that is usually considered to make these fields feasible for production is
61 tanker ships and liquefied gas carriers. These tanker ships are very flexible to cope with
62 situations, e.g., a suddenly increased demand, as they can quickly be deployed to the
63 desired fields. In addition, when one tanker ship is under maintenance, a substitute tanker
64 can be sent immediately. However, the operation of tanker ships is highly dependent on
65 weather conditions and not suitable for severe sea states. The large wave- and wind-
66 induced load-effects cause tremendous relative motion between the tanker ship and
67 platform. This further increase the risk of collision and damage to hawser and flowlines.

68 Considering this, innovative ways to perform offshore oil production activities are
69 being developed using autonomous marine systems, such as subsea gliders [3] and

70 autonomous freight submarines [4]. Since these vessels are underwater, the operation is
71 not weather dependent, i.e., it is not exposed to wind and waves, meaning it can work
72 even in severe sea states.

73 Although the blueprint of utilising large submarines for freight, especially
74 hydrocarbon, transportation was discussed back in the 1970s [5-9], it has been put on halt
75 until recently, limited by technology. The Subsea Shuttle System concept was first
76 unveiled in 2019. In two research disclosures, Equinor Energy AS [10, 11] proposed several
77 types of novel subsea transportation systems to transport liquid carbon dioxide from an
78 existing offshore/land facility where CO₂ is collected to the subsea well where it can be
79 injected. Its purpose is to be an alternative to pipelines, umbilical, and tanker ships,
80 especially for fields that are not economically feasible to justify full subsea installations.
81 Later on, Xing et al. [12] studied key design considerations regarding a novel Subsea
82 Shuttle Tanker (SST) concept. Based on this, a baseline design of SST for liquid CO₂
83 transportation is proposed [4]. A technical-economic feasibility study is then performed
84 by Xing et al. [13] on the SST and found that it is more economically feasible than pipelines
85 and tanker ships for those subsea wells within 750 km step-outs from the shore and have
86 a capacity within 2.5 million tonnes per annual.

87 The SST is propelled by a single propeller during the operation and has its depth
88 controlled by hydroplanes at the stern, but this configuration cannot hover or operate at
89 a low forward speed. Therefore, thrusters allow control at low and zero speed to make it
90 capable of hovering.

91

92 **1.2 Hovering Control**

93

94 The offloading process will be done through a flexible flowline that connects the
95 subsea well to the SST. For that, the SST will hover at operating depth in the vicinity, and
96 the connection/disconnection is made using a Remotely Operated Vehicle [4]. In this way,
97 the offloading method allows the SST to offload to subsea wells located at greater depths
98 than the nominal diving depth (70 m).

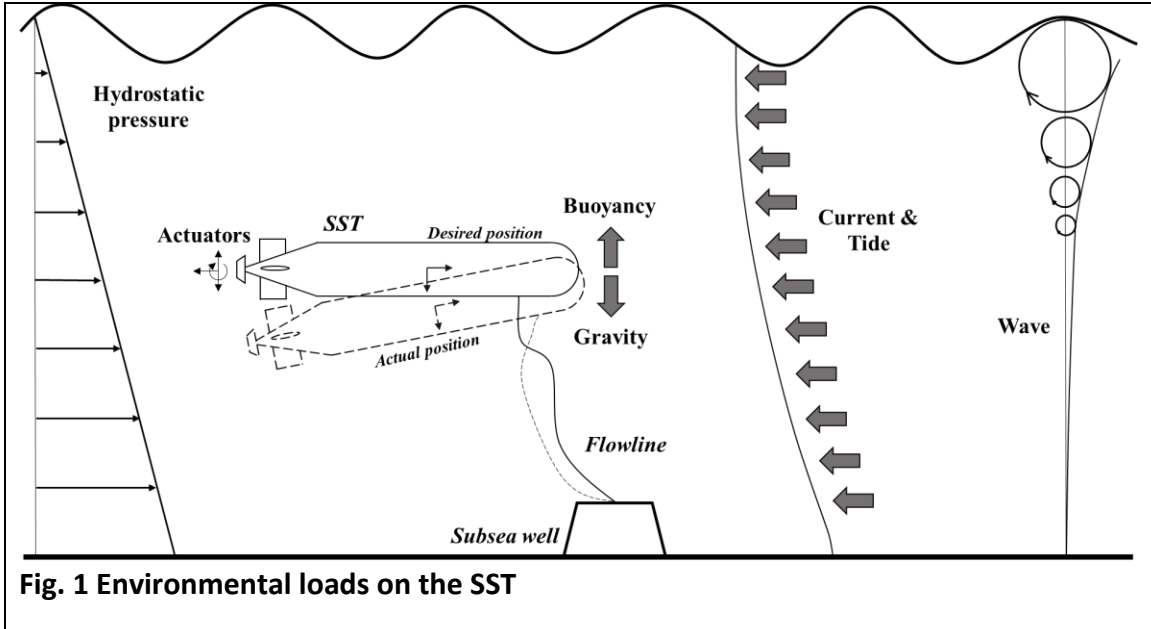
99 The hovering system plays an essential role in the offloading process. As illustrated
100 in Fig. 1, the SST is subjected to different environmental disturbances during the four-
101 hour offloading process, including hydrostatic pressure, wave (if it offloads in shallow
102 water), buoyancy and current. The current disturbance has the most significant load
103 effects on the SST, as these load-effects are non-uniform, time-varying and drag-
104 dominant. When developing the manoeuvring model of the SST, the authors found that
105 the quadratic drag hydrodynamic derivative $Y_{|v|v}$ is 80 times higher than $X_{|u|u}$ for the SST.
106 This indicates that with the same current speed, the side-way current drag is 80 times as
107 significant as the head-on current drag. Therefore, SST should be current-vane and
108 constantly face current while offloading.

109 It is essential to have a throughout understanding of the dynamic response of the
110 SST during offloading, as the maximum heave motion decides the maximum and
111 minimum depth. The maximum depth drives to the extreme hydrostatic pressure acting
112 on the SST during the operation, which dominates the collapse depth and SST pressure
113 hull design. The minimum depth determines the upper bounds of the safety depth of the

114 SST. In addition, the surge motion of the SST affects the required length of the hose to
115 reduce the risk of stretching or snap loadings on the connection joint.

116 The hovering system can directly impact the efficiency of the SST. Since it can
117 consume more energy than necessary if not designed wisely to deal with the operating
118 environmental condition. Also, the safety of operation, collision avoidance, and station-
119 keeping in currents have to be considered during the controller design. Therefore, Linear
120 Quadratic Regulator (LQR) is used to study the SST hovering control problem. LQR is an
121 optimal full-state feedback controller that finds the feedback gains of a given system by
122 achieving a specific optimality criterion [14]. It optimizes a cost function $L(\mathbf{x}(t), \mathbf{u}(t))$ as
123 expressed in (16), which is a sum of weighted performance and actuator effort. Its
124 application on marine crafts includes heading autopilot, rudder-roll damping system and
125 dynamic positioning system [15]. As for Autonomous Underwater Vehicles (AUV),
126 Mendes et al. [16] evaluated the waypoint tracking problem of an AUV by using a
127 Proportional-Integral-Derivative (PID) controller and an LQR. The study found that the
128 responsiveness of an LQR is more excellent than PID. Tiwari and Sharma [17] analysed the
129 hovering control of an AUV with an LQR. The study indicated that it enables the AUV to
130 hover with the minimum number of undesired oscillations and low power consumption
131 at the desired depth.

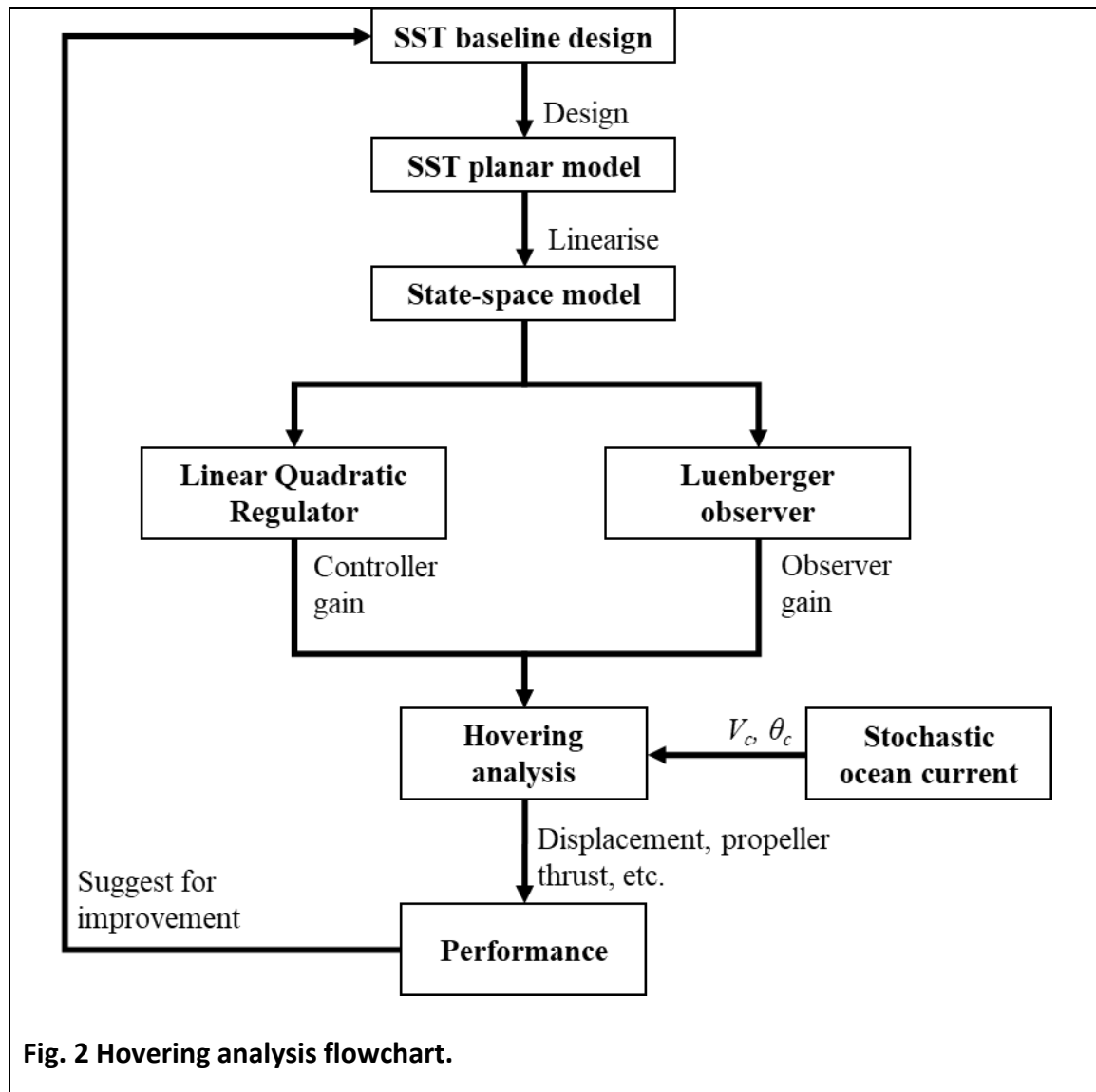
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137 This paper targets to develop a comprehensive manoeuvring model and an LQR
 138 control for hovering the SST in stochastic current. A flowchart of the model developed in
 139 this work is presented in Fig. 2. First, an SST manoeuvring planar model is developed
 140 based on the existing baseline design [4]. The SST utilizes its two vertical tunnel thrusters
 141 and main propeller to handle the external loads. Second, a simplified state-space model
 142 is linearised from the planar model. This linear state-space model is later used to

143 determine the LQR controller gain and Luenberger observer gain. After that, the LQR is
 144 used to obtain the hovering control input $\mathbf{u}(t)$. The incoming current follows a first-order
 145 Gauss-Markov process in both current velocity and inflow angle. The SST states are
 146 measured by a Luenberger observer. The model developed in this paper helps to
 147 contribute knowledge on the manoeuvring and hovering analysis for the future extra-
 148 large AUVs which are under development aiming to reduce the carbon footprint and
 149 better utilise the vast ocean space. The model presented in this paper can be used to
 150 answer some of the most critical questions and helps to improve the conceptual design.

151
 152 **2 SUBSEA SHUTTLE TANKER PLANAR MODEL**
 153 **2.1 SST Design Parameters**

154
 155 The UiS baseline SST has a length of 164 m and a beam of 17 m. It travels at 70 m
 156 constant water depth at a 6 knots slow speed [4]. The most critical design parameters of
 157 the SST are displaced in Table 1.

Table 1 Subsea shuttle tanker configuration

Parameter	Value	Unit
Length	164	m
Beam	17	m
Total mass m	3.36×10^7	kg
Pitch moment of inertia I_{yy}	3.63×10^9	kg·m ²
Centre of buoyancy $[x_b, y_b, z_b]$	[0, 0, -0.41]	m
Skeg position x_s	67	m
Skeg area A_s	40	m ²
Skeg lift rate coefficient	6.1	
Forward tunnel thruster position x_{tf}	60	m
Aft tunnel thruster position x_{ta}	-60	m
Tunnel thruster diameter d_t	2	m
Tunnel thruster thrust coefficient K_{Tt}	0.4	-
Main propeller diameter d_p	7	m
Main propeller thrust coefficient K_{Tp}	0.19	-
Carbon dioxide capacity	1.7×10^6	kg

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160 **2.2 Manoeuvring Model Formulation**161 **2.2.1 Coordinate system**

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163 The vehicle body-fixed coordinate system locates at the vehicle's centre of gravity.

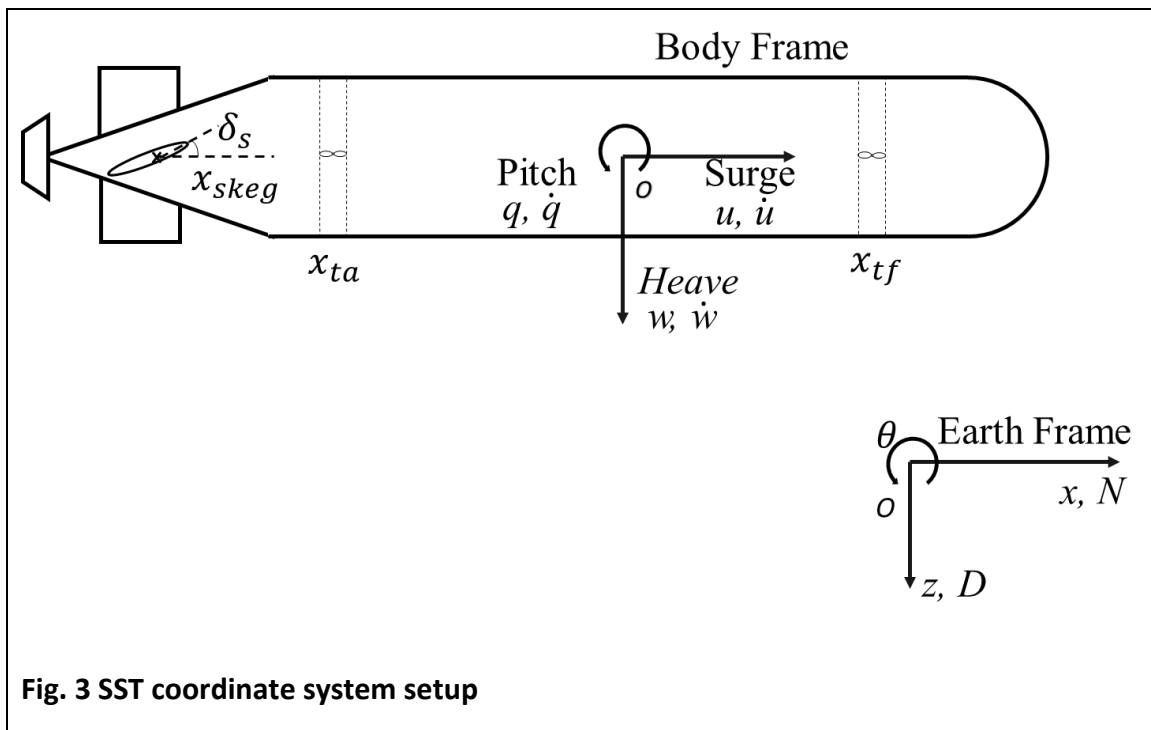
164 The motion of the body-fixed frame of reference is relative to an earth-fixed global

165 reference frame (North, East, and Down). The centre of buoyancy locates right above the

166 centre of gravity at the SST's geometric centre. The coordinate system is presented in Fig.

167 3.

168



169

170 In the figure, x and z are translational motion in the global coordinate system; θ 171 is the pitch rotational motion; u , w , and q are surge velocity, heave velocity, and pitch172 velocity, respectively; \dot{u} , \dot{w} , and \dot{q} are the corresponding accelerations.

173
174
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2.2.2 Plant model

176 The SST equations of motion, including surge, heave and pitch motions, can be
177 expressed as kinematic equations (1) and dynamic equations (2) in the vectorial form:

178

$\dot{\boldsymbol{\eta}} = \mathbf{J}_{\boldsymbol{\theta}}(\boldsymbol{\eta})\mathbf{v}$	(1)
$\mathbf{M}\dot{\mathbf{v}} + \mathbf{C}(\mathbf{v})\mathbf{v} + \mathbf{D}(\mathbf{v})\mathbf{v} + \mathbf{g}(\boldsymbol{\eta}) = \boldsymbol{\tau}$	(2)

179

180 where $\boldsymbol{\eta}$ is SST NED position and Euler angles; \mathbf{v} is the velocity components in the body-
181 fixed system; $\mathbf{J}_{\boldsymbol{\theta}}(\boldsymbol{\eta})$ is the Euler transformation matrix; \mathbf{M} is the system mass matrix,
182 which includes the mass and added mass of the SST; $\mathbf{C}(\mathbf{v})$ is the Coriolis-centripetal
183 matrix; $\mathbf{D}(\mathbf{v})$ is the hydrodynamic damping matrix; $\mathbf{g}(\boldsymbol{\eta})$ is a force vector considering
184 hydrostatic forces, $\boldsymbol{\tau}$ is the control force vector.

185 The kinematic component can be expanded with Euler angle representation and
186 be presented as:

187

$\underbrace{\begin{bmatrix} \dot{N} \\ \dot{D} \\ \dot{\theta} \end{bmatrix}}_{\dot{\boldsymbol{\eta}}} = \underbrace{\begin{bmatrix} \cos \theta & \sin \theta & 0 \\ -\sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix}}_{\mathbf{J}_{\boldsymbol{\theta}}(\boldsymbol{\eta})} \underbrace{\begin{bmatrix} u \\ w \\ q \end{bmatrix}}_{\mathbf{v}}$	(3)
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188

189 where $\dot{\boldsymbol{\eta}}$ is the velocity vector in the global NED frame; θ is SST pitch angle; u , w , and q
190 are surge, heave, and pitch velocity in the body frame, respectively. The motions and
191 velocities are illustrated in Fig. 1.

192

$$\mathbf{M} = \begin{bmatrix} m - X_{\dot{u}} & 0 & mz_g \\ 0 & m - Z_{\dot{w}} & -Z_{\dot{q}} \\ mz_g & M_{\dot{w}} & I_{yy} - M_{\dot{q}} \end{bmatrix} \quad (4)$$

$$\mathbf{C}(\mathbf{v}) = \begin{bmatrix} 0 & 0 & 0 \\ 0 & m - Z_{\dot{w}} & -(m - X_{\dot{u}})u \\ 0 & (Z_{\dot{w}} - X_{\dot{u}}) & 0 \end{bmatrix} \quad (5)$$

$$\mathbf{D}(\mathbf{v}) = \begin{bmatrix} X_{|u|u}|u| & X_{wq}q & X_{qq}q \\ Z_{uq}q & Z_{|w|w} + Z_{uw}u & Z_{q|q|} \\ M_{uw}w & M_{|w|w} & M_{uq}u + M_{|q|q} \end{bmatrix} \quad (6)$$

193

194 where $X_{\dot{u}}$, $Z_{\dot{w}}$, $Z_{\dot{q}}$, $M_{\dot{w}}$, and $M_{\dot{q}}$ are added mass hydrodynamic derivatives; $X_{|u|u}$, $Z_{|w|w}$,195 $Z_{|q|q}$, $M_{|w|w}$, and $M_{|q|q}$ are hydrodynamic drag derivatives; X_{wq} , X_{qq} , Z_{uw} , Z_{uq} , and M_{uq} 196 are added mass hydrodynamic derivative cross-terms. Z_{uw} and M_{uw} are the body lift and

197 Munk moment.

198

Table 2 Hydrodynamic derivatives

Parameter	Value	Unit	Parameter	Value	Unit
$X_{\dot{u}}$	-5.14×10^5	kg	$Z_{ q q}$	4.79×10^9	kg·m
$Z_{\dot{w}}$	-3.29×10^7	kg	$M_{ q q}$	-4.34×10^{12}	kg·m ²
$M_{\dot{w}}$	-4.40×10^8	kg·m	X_{wq}	-3.28×10^7	kg
$Z_{\dot{q}}$	-4.40×10^8	kg·m	X_{qq}	-4.40×10^8	kg·m
$M_{\dot{q}}$	-6.39×10^{10}	kg·m ²	Z_{uq}	5.14×10^5	kg
$X_{ u u}$	-1.64×10^4	kg/m	M_{uq}	-4.40×10^8	kg·m
$Z_{ w w}$	-1.42×10^6	kg/m	Z_{uw}	-2.42×10^5	kg/m
$M_{ w w}$	1.67×10^7	kg	M_{uw}	-3.99×10^7	kg

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200

201 **2.2.3 Control plane**

202

203 The SST equips two bow control planes and two aft control planes locating at the

204 port and starboard sides to control its depth. The lift force generated by a control plane

205 is expressed as (7):

206

$$\tau_s = 0.5\rho C_L S_{skeg}(\delta_s - \theta)u^2 \quad (7)$$

207

208 where $\rho = 1025 \text{ kg} \cdot \text{m}^3$ is seawater density, C_L is the lift rate coefficient of skegs,

209 $S_{skeg} = 40 \text{ m}^2$ is the skeg area, δ_s is the skeg angle. In the design, the SST uses Bower's

210 airfoil profile [18]. δ_s is fixed to 0 radius and $C_L = 6.1 \text{ rad}^{-1}$ is used.

211

212 2.2.4 Thruster

213

214 As shown in Fig. 3, the SST uses two identical tunnel thrusters: one forward

215 thruster and one aft thruster. Their locations are listed in Table 1. The thruster thrust τ_t

216 is calculated as (8). This equation is used to calculate forward thruster thrust τ_{tf} and aft

217 thruster thrust τ_{ta} .

218

$$\tau_t = K_{Tt} \cdot \rho \cdot n_t^2 \cdot d_t^4 \quad (8)$$

219

220 where $K_{Tt} = 0.4$ is the thrust coefficient, n_t is the thruster rotational speed, and d_t is the

221 thruster diameter. The diameter of the SST tunnel thruster is estimated to be 2 m, close

222 to current Kongsberg marine tunnel thrusters [19]. A single designed propeller can

223 provide a maximum 164 kN thrust at 300 RPM, and this is equivalent to the side-way drags

224 at 1-knot heave speed. Simulation results in Section 4 proved that this design could

225 provide enough thrust for the designed current speed during hovering.

226

227 3.2.5 Main propeller

228

229 The initial design of the SST propeller is performed in the baseline design [4]. A 3-

230 bladed Wageningen B-series propeller is used on the SST. The propeller diameter d_p is 7

231 m and its thrust coefficient K_{Tp} is 0.19. The propeller thrust force can therefore be
 232 obtained from (9):

233

$\tau_p = K_{Tp} \cdot \rho \cdot n_p^2 \cdot d_p^4$	(9)
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234

235 where n_p is propeller rotational speed.

236 2.3 Stochastic Ocean Current

237

238 The stochastic ocean current model used in this paper is introduced by Fossen [15]
 239 and Sørensen [20]. Both current velocity and current direction are described as a first-
 240 order Gauss-Markov process. The current profile is presented in (10) and (11):

241

$\dot{V}_c + \mu_1 V_c = \omega_1$	(10)
$\dot{\theta}_c + \mu_2 \theta_c = \omega_2$	(11)

242

243 where V_c is current speed and θ_c is the inflow angle, μ_1 and μ_2 are constants related to
 244 the time constant of the Gauss-Markov process. ω_1 and ω_2 are Gaussian white noise.

245 According to Fossen [15], the constants μ_1 and μ_2 should be non-negative. They
 246 affect the rise time before a steady state is reached. In this study, a small value 1 is used
 247 for both constants to reduce the rise time and generate a steady-state current. Mean
 248 current speed at 0.5 m/s, 1 m/s, and 1.5 m/s are studied.

249 The designed current speed is 1 m/s for the baseline SST. This value is close to the
 250 0.96 m/s highest seasonal current velocities measured by Bruserud and Haver in the
 251 northern North Sea [21]. Also, the Norwegian Petroleum Directorate [22] indicates that

252 the Norwegian Coastal Current, which can be traced from north Scotland and the eastern
253 North Sea at depths up to 100 m, can easily exceed 1 m/s speed.

254 It should be noticed that the current speed is expressed in the global NED frame,
255 and it is then transformed into the SST body-fixed frame and added to SST velocity to
256 calculate the hydrodynamic forces.

257

258

259 **2.4 Simulink Implementation**

260

261 Following the above-mentioned mathematical formulation, a Simulink model is
262 built and presented in Fig. 4. The model is divided into three blocks:

263 – Plant model: The plant model represents the equations of motion of the SST body.

264 It considers the hydrodynamic properties of the SST, including the added mass,
265 damping, and body lift forces.

266 – Actuators: This is the block consisting of all contributions from the actuators,
267 including propeller, skeg (hydroplane), ballast tanks, and thrusters. In this study,
268 the ballast tank is modelled as a constant mass ensuring the neutral buoyant of
269 the SST. The modelling of other components is described in **Section 2.2**.

270 – Current: The current velocity is generated in this block following the methodology
271 presented in **Section 2.3**. It is added together with SST velocity to obtain the
272 relative velocity between SST and flow.

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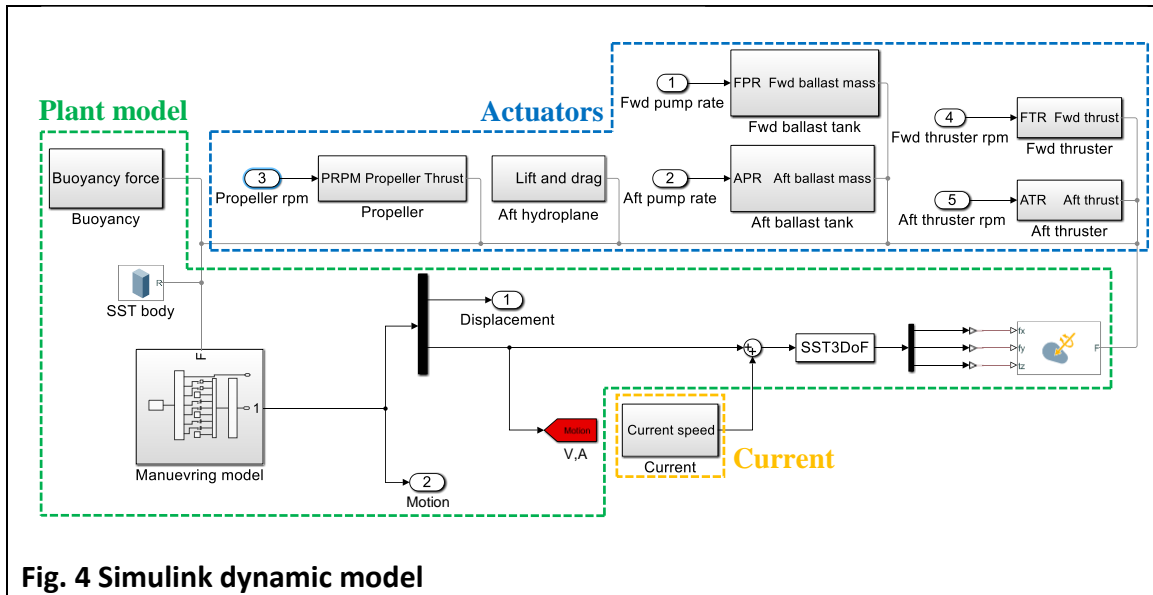


Fig. 4 Simulink dynamic model

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276 3 CONTROL SYSTEM DESIGN

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This section introduces the design of the SST hovering control system. A block diagram demonstrating the control loop of the SST hovering problem is presented in Fig.

279

5. The layout of this control diagram is similar to a full state feedback control system with

280

observation. As shown in the diagram, the actuator control input \mathbf{u} is first calculated from

281

state feedback and trajectory reference. Then it is transferred into the SST manoeuvring

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together with current disturbances to obtain the output \mathbf{y} . An observer is used to

283

measure the SST states. It takes in system control input \mathbf{u} and system output \mathbf{y} . It outputs

284

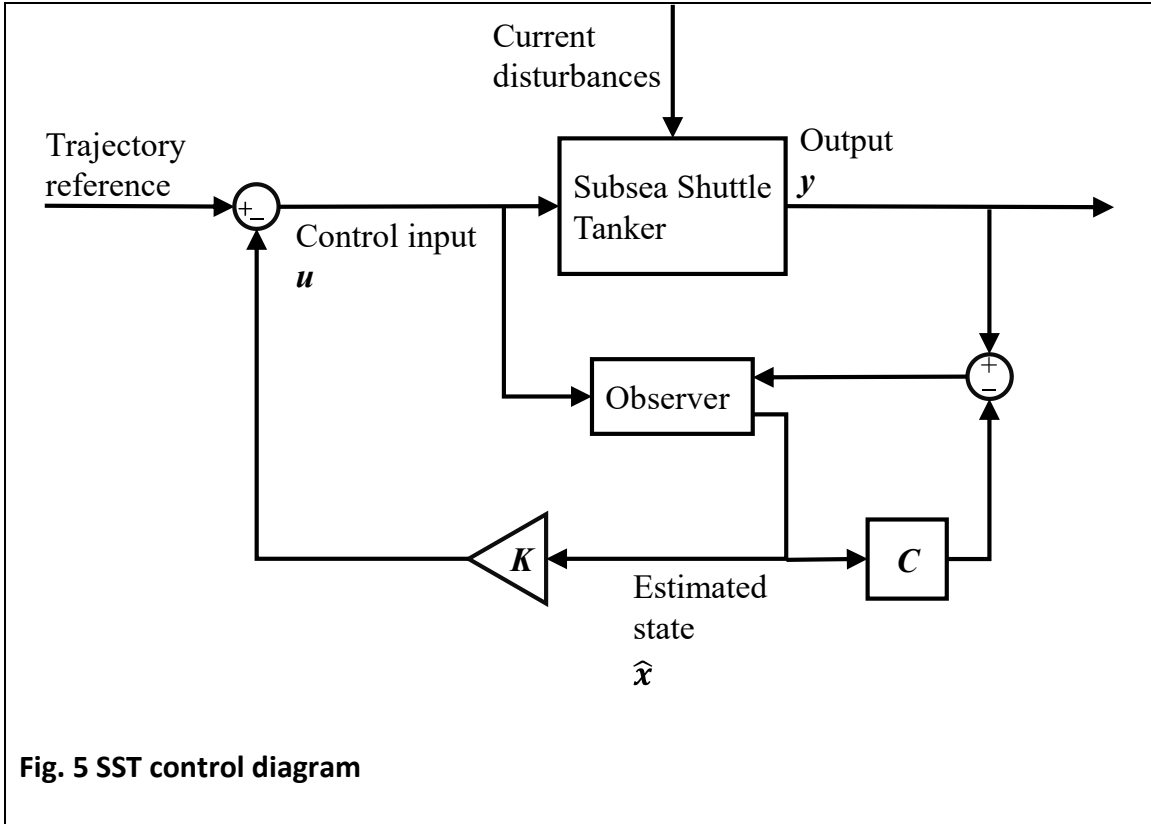
the estimated state $\hat{\mathbf{x}}$. After this, estimated states multiply the controller gain to obtain

285

the feedback, which will be finally used to obtain \mathbf{u} .

286

287



288

289 **3.1 Linear State-space Model**

290 **3.1.1 Linear state-space function**

291 A linear state-space model is required to design an LQR controller. The linear
 292 input-output state-space representation of SST is described as a time-invariant system by
 293 a pair of equations (12):

294

$\dot{x} = Ax + Bu$	(12)
$y = Cx$	

295

296 where x is the state vector, y is the output vector, u is the control input vector (also
 297 shown in Fig. 5). A is state matrix, B is input matrix, and C is output matrix.

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299 **3.1.2 Model linearisation**

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However, as previously presented, the SST manoeuvring model is highly nonlinear, coupled, and time-dependent. However, linearised time-invariant \mathbf{A} and \mathbf{B} are required to determine the controller gain. The linear state-space function is obtained through model linearisation to cope with this. MATLAB model lineariser is used in this process. The input of the linearised model is set to be $\mathbf{u} = [n_{tf}; n_p; n_{ta}]$, i.e., the revolution speed of front tunnel thruster, main propeller, and aft tunnel thruster. The outputs of the model are the variants to be controlled. It is set as $\mathbf{y} = [N; D; \theta]$, i.e., longitudinal motion, vertical motion, and pitch motion. Consequently, the state vector $\mathbf{x} = [N; D; \theta; \dot{N}; \dot{D}; \dot{\theta}]$ is obtained. The adopted linearisation is performed at the operating point with a current velocity of 1 m/s design current speed and a 1° small-angle heading. A sensitivity study is later performed. As a result, it can be seen from (12) that \mathbf{A} is a 6 by 6 matrix, \mathbf{B} is a 6 by 3 matrix, and \mathbf{C} is a 3 by 6 matrix as listed in (13) to (15):

$\mathbf{A} = \begin{bmatrix} 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 2.65 \times 10^{-5} & -1.00 \times 10^{-3} & -1.21 \times 10^{-4} & -1.45 \times 10^{-2} \\ 0 & 0 & 2.87 \times 10^{-6} & -1.32 \times 10^{-4} & -8.91 \times 10^{-3} & 5.18 \times 10^{-2} \\ 0 & 0 & -2.37 \times 10^{-2} & 6.21 \times 10^{-5} & 3.87 \times 10^{-3} & -5.96 \times 10^{-2} \end{bmatrix}$	(13)
$\mathbf{B} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 2.54 \times 10^{-10} & -8.80 \times 10^{-5} & 4.24 \times 10^{-10} \\ 3.84 \times 10^{-6} & -5.25 \times 10^{-9} & 6.40 \times 10^{-6} \\ 7.13 \times 10^{-7} & 0 & -1.19 \times 10^{-6} \end{bmatrix}$	(14)
$\mathbf{C} = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \end{bmatrix}$	(15)

314

315 3.2 Linear Quadratic Regulator

316 LQR is an optimal control method. Therefore, the controller finds the gain matrix
 317 by solving an optimisation problem. LQR finds the control law for linear time-invariant
 318 systems (expressed as (12)) by solving quadratic cost functions [15]. It regulates the
 319 outputs \mathbf{y} of the system to a constant value. The minimised quadratic cost function is
 320 expressed as:

321

$L(\mathbf{x}, \mathbf{u}) = \int_0^{\infty} (\mathbf{x}^T \mathbf{Q} \mathbf{x} + \mathbf{u}^T \mathbf{R} \mathbf{u}) dt$	(16)
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322

323 where $\mathbf{Q} = \mathbf{Q}^T \geq 0$ is the state weighting matrix and $\mathbf{R} = \mathbf{R}^T > 0$ is the actuator energy
 324 weighting matrix, which determines the importance of state error and energy
 325 expenditure, respectively. The targeted states can be penalized by adjusting the
 326 corresponding diagonal elements in \mathbf{Q} . Similarly, targeted actuator efforts can be
 327 controlled by adjusting corresponding elements in \mathbf{R} .

328 The equivalent control law for an LQR is:

$\mathbf{u} = -\mathbf{K} \mathbf{x}$	(17)
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329

330 where \mathbf{K} is a 3 by 6 gain matrix.

331 In order to design an LQR, the SST planar model must be controllable. This means
 332 the linear state matrix \mathbf{A} and linear input matrix \mathbf{B} both have to satisfy the controllability
 333 condition, . The controllability matrix \mathbf{Con} must have full row rank and therefore have
 334 a right inverse [23]. The controllability matrix is obtained as (18):

$\mathbf{Con} = [\mathbf{B} \mathbf{A}\mathbf{B} \dots \mathbf{A}^{n-1}\mathbf{B}]$	(18)
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335

336 The linearised model is controllable as it has a controllability matrix with rank 6.

337 3.3 Luenberger Observer

338 A linear regulator controller development leads to a state variable feedback law.

339 This means an optimal control method uses the observation of all state variable

340 components to calculate the control input [24]. One way of performing such

341 measurement is applying a Luenberger observer [23] to represent sensors and providing

342 state measurement to the SST. As shown in Fig. 5, the Luenberger observer is a simple

343 fixed-gain observer. It reconstructs the estimated state \hat{x} from control input u and

344 system output y . The estimated state vector \hat{x} , instead of actual state vector x , will then

345 be used as the state feedback to obtain the control input for the next time step. The

346 continuous time Luenberger observer can be described by the following differential

347 equation:

348

$\hat{\dot{x}} = A\hat{x} + Bu + K_L(y - \hat{y})$	(19)
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349

350 where K_L is the observer gain, \hat{y} is the estimated output vector. The observer gain is

351 obtained by placing the close loop poles on the negative side of the real axis.

352 Before implementing the observer, the observability of the linearised model is

353 checked. The observability infers how well one can estimate the real-time state x from

354 the actuator input u and system output y . Similar to the controllability matrix, the

355 observability matrix **Obs** can be expressed as a matrix consists of the transpose of the

356 linear state matrix A and linear output matrix C .

357

$$\mathbf{Obs} = [\mathbf{C}^T | \mathbf{A}^T \mathbf{C}^T | \dots | (\mathbf{A}^T)^{n-1} \mathbf{C}^T] \quad (20)$$

358

359 The linearised SST state-space model is observable, i.e., all states can be obtained

360 through the output sensor, as the observability matrix (20) has full column rank 6.

361

362 4 RESULTS

363 4.1 Linearisation Point Steady State Sensitivity Analysis

364

365 The SST linear state-space model is obtained from linearising the manoeuvring model at

366 a steady state with constant current speed and fixed inflow angle. In this process, the

367 SST's stationary is maintained by two tunnel thrusters and the propeller, which are

368 controlled by three independent PID controllers. The measured input points are the front

369 thruster revolution, aft thruster revolution, and propeller revolution. Surge, heave, and

370 pitch motion in the global frame are the measured outputs. The selected current velocity

371 and inflow angle affect both the state and input matrix in this process. Steady-state

372 sensitivity analysis is therefore performed to better understand such effects.

373 The SST manoeuvring model is linearised at four different steady points. For each

374 controller gain, the \mathbf{Q} matrix is set to an identity matrix. The diagonal values in \mathbf{R} matrix

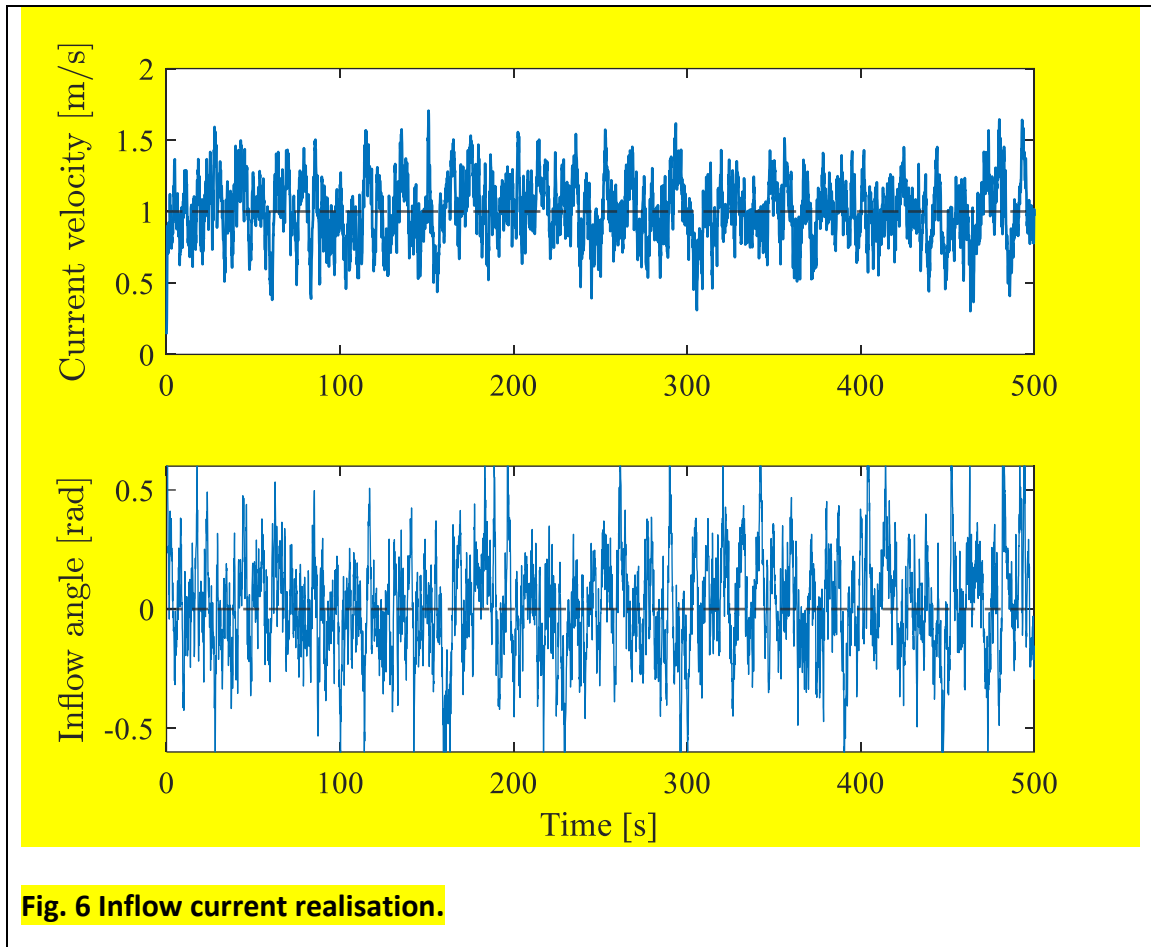
375 is set to $[1 \times 10^{-2}; 1 \times 10^{-4}; 1 \times 10^{-4}]$ for main propeller, front tunnel thruster, and aft

376 tunnel thruster, respectively. Same values are used in weighting matrixes for different

377 state-space functions to ensure that the results are comparable. All linear state-space

378 models are linearised at 1 m/s constant current speed. Moreover, four different current

379 inflow angles are selected. These angles are 1 °, 5 °, 10 °, and 15 °.



380

381 Fig. 6 exemplifies a 500-second realisation of the incoming current speed and
382 inflow angle. In the linearisation point study, the mean current velocity is 1 m/s, and the
383 mean inflow angle is 0 rad. Fig. 7 presents the hovering performances of the SST with the
384 controller gain calculated from the linear state-space model obtained from the above-
385 mentioned linearisation points. Moreover, the same current profile is used. From the
386 figure, it can be noticed that although the performances of the controller gains are
387 different, all systems are still stable, i.e., the SST maintains its position while hovering.
388 This indicates that the stability of this closed-loop system is not sensitive to the selected
389 linearisation points. However, the linearisation point affects the heave and surge

390 motions. The result of surge motion is presented in Fig. 7 (up). From the figure, a transient
391 time of approximately 200 s is observed. The SST is pushed backwards by the current. This
392 steady offset is the same for all four cases and is approximately 1.2 m. As for the
393 performance of different controller gains', the amplitude of fluctuation decreases with
394 the linearisation inflow angle, the minimum fluctuation is observed for $\theta_c = 1^\circ$.
395 Linearised at 15° returns with a significantly worse result in surge motion.

396 When it comes to the surge motion (presented in Fig. 7 down), the difference in
397 maximum offset is moderate. However, the maximum response can still be observed in
398 the 15° case. In the listed cases, linearising the model at a smaller inflow angle (1°) can
399 lead to a controller gain with better performance in the heave direction.

400 Due to the significant hydrostatic restoring force, pitch motion is negligible in the
401 SST hovering problem. Nevertheless, the negative maxima can be found at 500 s on the
402 15° case.

403 It should also be noted that the SST linear model cannot be obtained when the
404 linearisation point is 0° . This is because, with a 0° angle of attack, the contribution from
405 tunnel thrusters is not captured. Therefore, the linearised state-space model obtained
406 from linearisation at 1 m/s steady current speed, 1° angle of attack is used in this study.

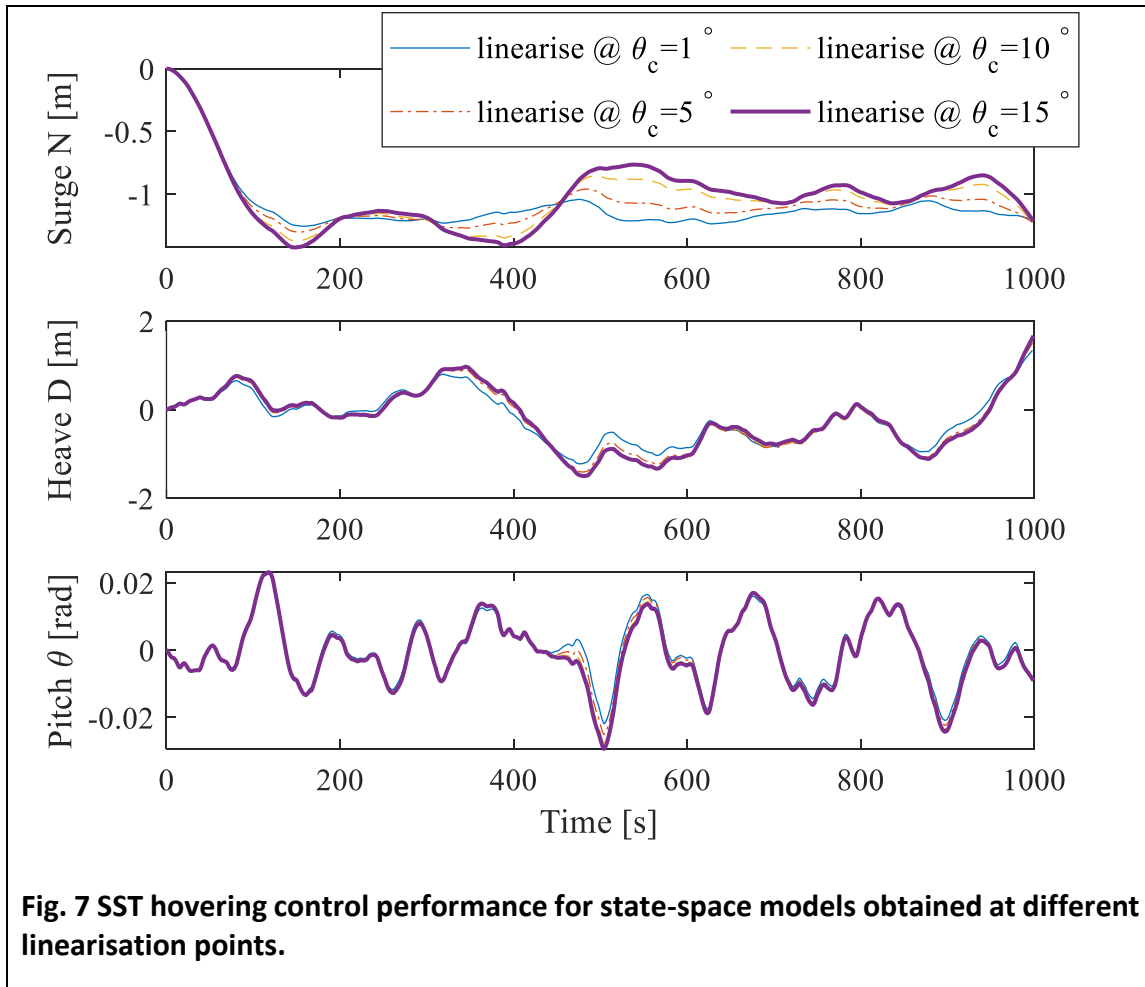


Fig. 7 SST hovering control performance for state-space models obtained at different linearisation points.

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409 **4.2 Observer Pole-placement Analysis**

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The observability matrix ***Obs*** of the SST linear state-space model is non-singular, i.e., it has a total column rank 6. Therefore, the poles of the error dynamics can be placed in the negative half-plane to ensure stability [15]. However, as the desired closed-loop pole positions for the SST observer are not explicit, a sensitivity analysis is performed to find the observer pole position with less error. In this section, four sets of pole positions are selected as listed in Table 3. All closed-loop poles are placed on the left half-plane but at different distances from the origin.

Table 3 Observer pole position

	N	D	θ	\dot{N}	\dot{D}	$\dot{\theta}$
Pole position 1 (P1)	-0.5	-0.5	-0.5	-0.2	-0.2	-0.2
Pole position 2 (P2)	-2	-2	-2	-1	-1	-1
Pole position 3 (P3)	-4	-4	-4	-2	-2	-2
Pole position 4 (P4)	-8	-8	-8	-4	-4	-4

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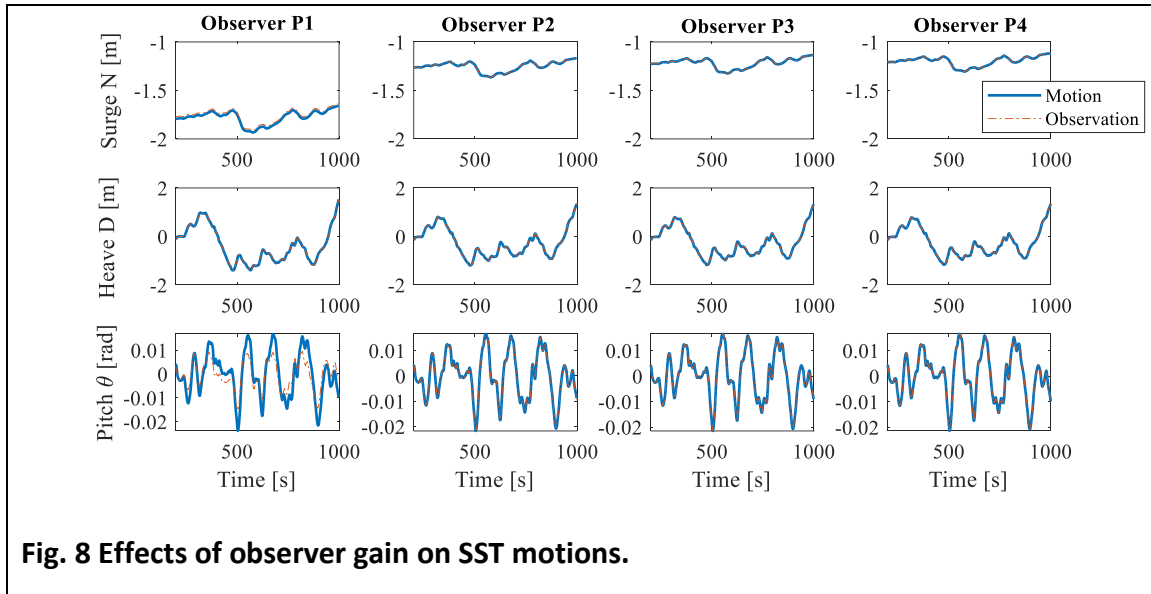
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427

The results of the four cases, including measurement and actual motion, are presented in Fig. 8. In this simulation, the mean current speed is set to 1 m/s. State-space model linearised at 1 ° inflow angle is selected as it can provide the best performance. Still, the ***Q*** matrix is set to identity matrix and the diagonal values in ***R*** matrix is set to $[1 \times 10^{-2}; 1 \times 10^{-4}; 1 \times 10^{-4}]$ to calculate the controller gain. The Luenberger observer can provide measurements for all cases according to simulation results. However, smaller errors are found for the observer whose poles are close to 0 (Observer P1). On the contrary, the error in P2, P3, and P4 are negligible. In addition, a smaller measurement

428 error can be found in heave than in surge or pitch, even when the same pole position is
 429 used, as shown in Table 3.

430



431

432 The observer's closed-loop pole positions also affect the performance of the
 433 controller. That is, less offset is induced when the poles are placed further left. In Fig. 8,
 434 P3 and P4 deliver slightly better performance than P2 in the surge. The steady offsets of
 435 P2, P3, and P4 are much smaller than P1. The displacements in heave for all observer gains
 436 are at the same order. Still, the results of P2, P3, and P4 are similar and advantageous
 437 over P1. As for pitch motion, the difference between the four cases can be neglected, as
 438 the trim angle of the SST is balanced by hydrostatic restoring force rather than tunnel
 439 thrusters. It also can be noticed from Fig. 8 that the difference in performance between
 440 P3 and P4 is not apparent, which suggests that putting pole positions further left does not

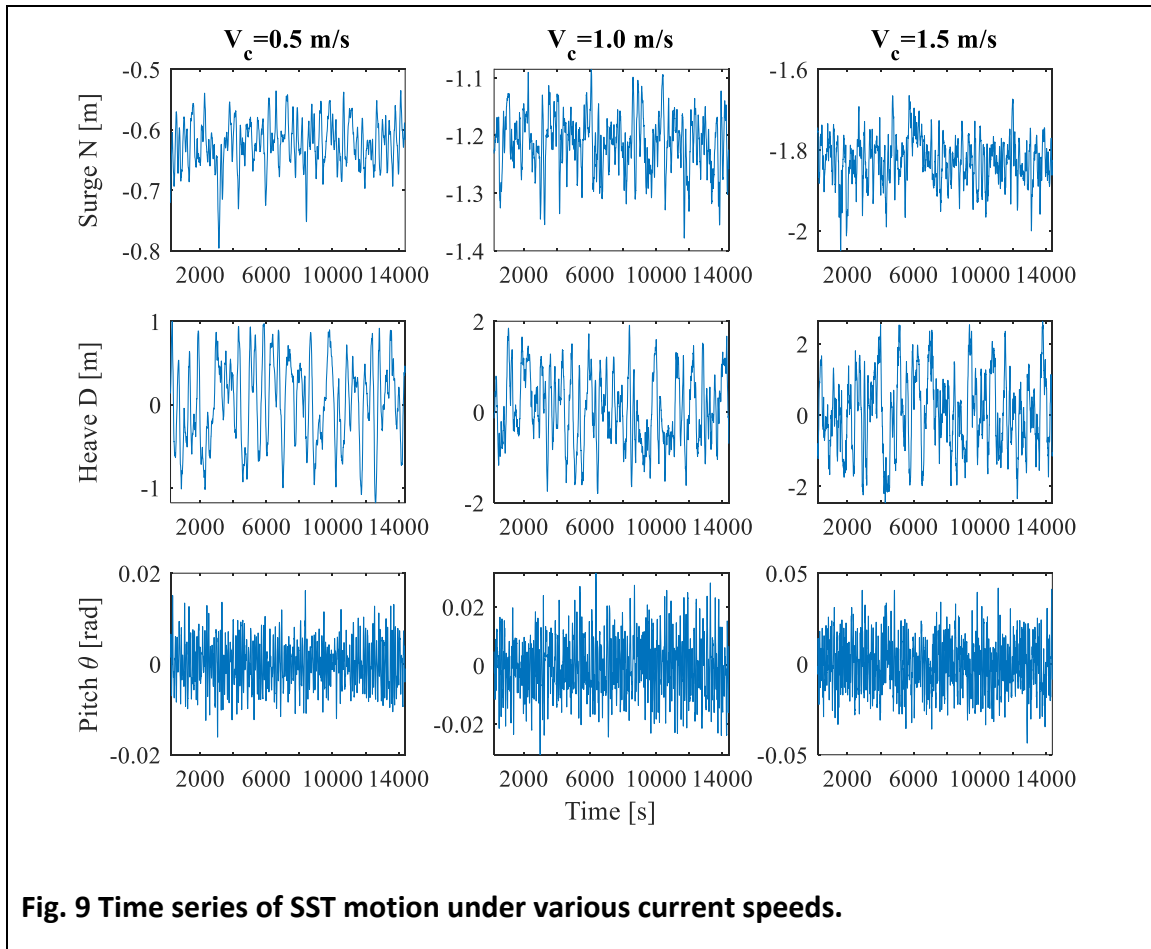
441 significantly improve the performance anymore. Therefore, pole positions in P3 are used
442 to obtain the observer gain.

443 **4.3 SST Trajectory**

444
445 The designed current speed of the SST is 1 m/s [4]. This is the highest seasonal
446 average current speed observed in the North Atlantic and Norwegian Coastal currents
447 [22, 25, 26]. Therefore, this work studies the SST's trajectory envelope under three
448 current conditions, i.e., 0.5 m/s, 1m/s, and 1.5 m/s mean current speeds. They represent
449 the low current, designed current, and extreme current conditions, respectively. A four-
450 hour simulation is performed for each current speed corresponding to the time span of a
451 loading or offloading operation.

452 The performance weight matrix \mathbf{Q} is set to be identity and the diagonal values in
453 \mathbf{R} matrix is set to $[1 \times 10^{-2}; 1 \times 10^{-4}; 1 \times 10^{-4}]$. As a result, the time series in the surge,
454 heave, and pitch motions of the SST under 0.5 m/s, 1 m/s, and 1.5 m/s current speeds are
455 presented in Fig. 9. From the figure, it can be noticed that the closed-loop system is stable.
456 The amplitude for all motions increases with the mean inflow velocity. The largest surge
457 displacement for 0.5 m/s, 1.0 m/s, and 1.5 m/s cases are -0.79 m, -1.38 m, and -2.04 m
458 respectively. As for heave, the maximum observed value for $V_c=0.5$ m/s case is 1.18 m. It
459 increases to 1.70 m for $V_c=1.0$ m/s and 2.63 m for $V_c=1.0$ m/s. The pitch motions are not
460 small for all three cases. The observed maxima are 0.016 rad, 0.032 rad, and 0.044 rad for
461 0.5 m/s, 1.0 m/s, and 1.5 m/s current speeds, respectively.

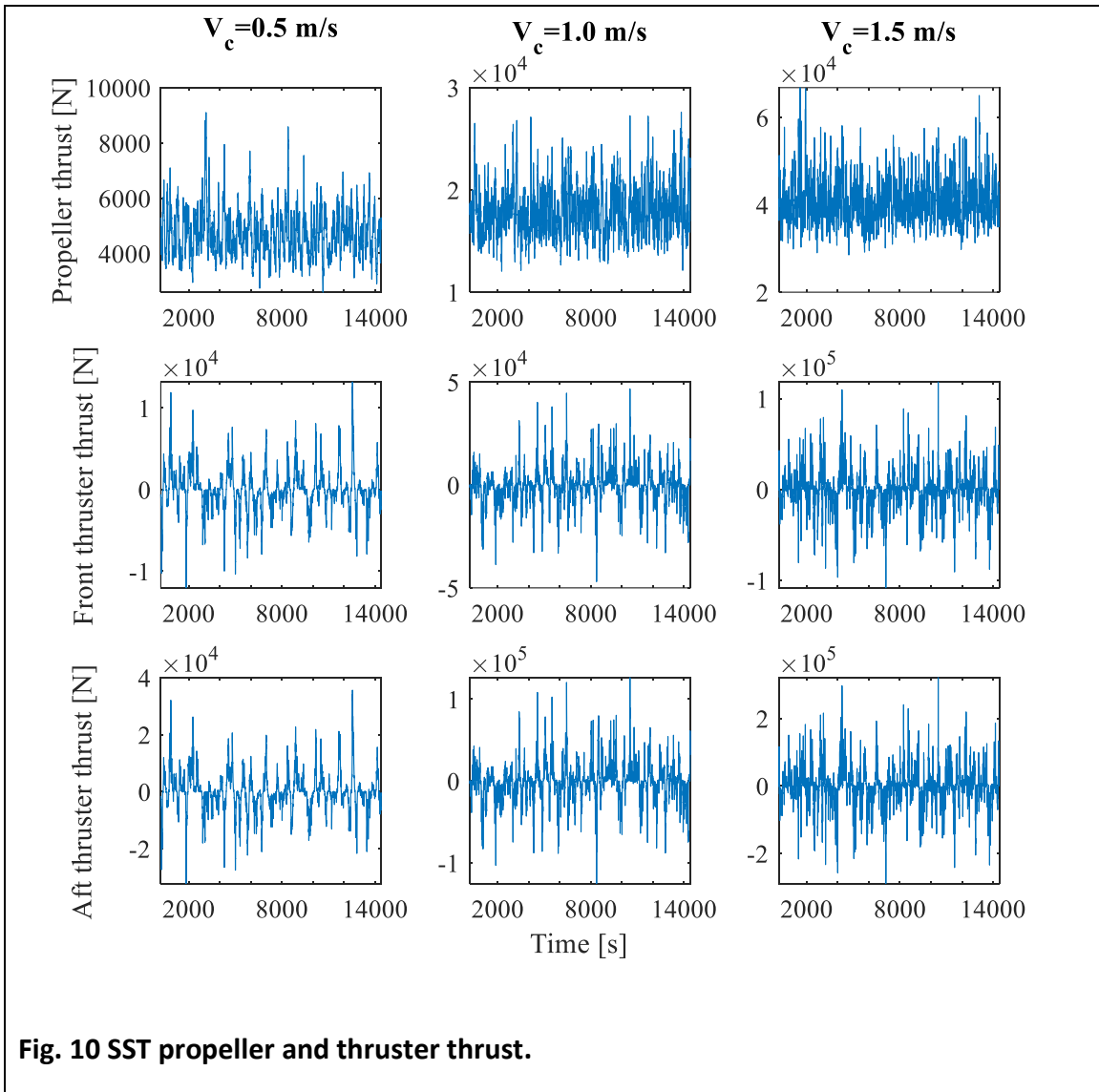
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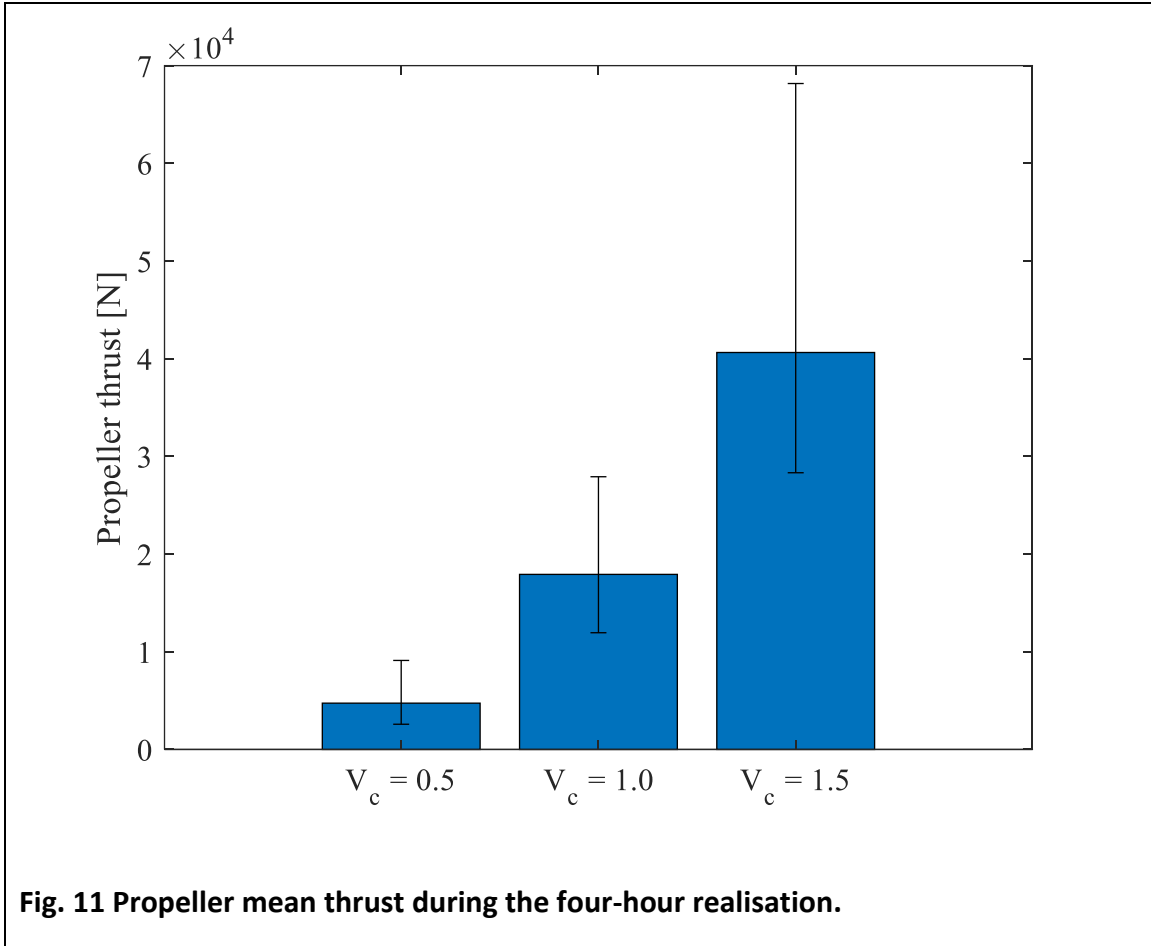
463

464 Fig. 10 demonstrates the required propeller and thruster thrusts. Because the SST
 465 is a slender body with a slenderness ratio of 9.65, its side-way drag is significantly higher
 466 than the heading drags during offloading. Therefore, the required thrusts for the tunnel
 467 thrusters are also higher than the required thrust for the main propeller. Fig. 11
 468 summarises the result of the propeller thrust time series. When facing 0.5 m/s current
 469 speed, the mean thrust is 4.7 kN, while the maximum required thrust is 9.1 kN. When the
 470 mean inflow speed is 1 m/s, the mean thrust is 17.9 kN while the maxima is 37.9 kN. For
 471 the 1.5 m/s extreme current case, the average thrust is 40.6 kN, while the maximum
 472 required thrust is 68.2 kN.

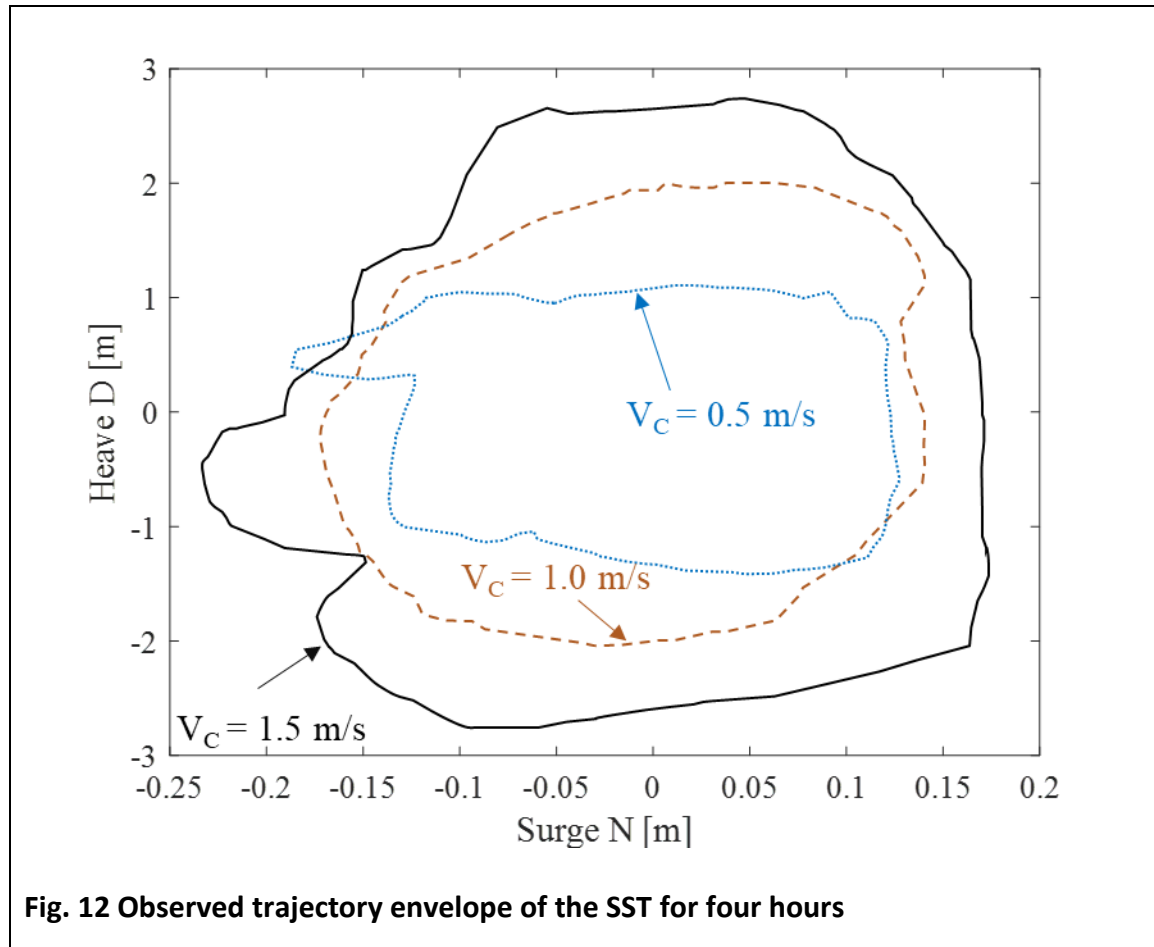
473 As for tunnel thrusters, the required thrusts for the front and aft thrusters are
 474 highly correlated. However, the aft controller provides more thrust than the front
 475 thruster. The highest thrusts in four simulations are 35.6 kN, 126.3 kN, and 320.1 kN for
 476 0.5 m/s, 1.0 m/s, and 1.5 m/s current speeds, respectively. It grows proportional to the
 477 square of inflow velocity. As a result, the existing ship use tunnel thrusters [19] can fulfil
 478 the need of the SST under designed current speed.



479



480



481

482 Finally, the trajectory envelope of the SST is summarised as Fig. 12. It is an outline
 483 of the footprint of SST of the four-hour simulations. The envelope's area expands with the
 484 increasing mean current speed. The heave offset is 1 m, 2 m, and 2.5 m for 0.5 m/s, 1 m/s,
 485 and 1.5 m/s current speeds, respectively. The surge motions are insignificant compared
 486 to heave. The maximum surge offset is -0.25 m for 1.5 m/s current speed case. As the SST
 487 has a 164 m length and 17 m beam, the motions of the presented cases are small.
 488 Therefore, the SST is stable during the entire offloading process.

489

490

491 **5 CONCLUSIONS AND FUTURE WORKS**

492
493 An SST manoeuvring model is proposed and an LQR controller is designed for
494 hovering stability in this paper. First, a planar model is developed based on the baseline
495 design geometry to study the SST's vertical position keeping current using its propeller
496 and two independent tunnel thrusters. The ocean current profile follows a first-order
497 Gauss-Markov process. SST motions are first measured by a Luenberger observer and
498 then delivered to an LQR to calculate the control input. Four linearisation points are
499 studied to obtain the SST linear state-space model. The results show that although the
500 selection of linearisation points will not affect the stability of the closed-loop system, a 1
501 ° smaller inflow angle can lead to better controller gain performance. However, the inflow
502 angle cannot be reduced to 0 ° as the thruster contributions are not captured. The
503 controllability and observability of the linearised SST state-space model are confirmed.
504 The Luenberger observer can provide good measurement to SST states. However, better
505 observation is found on heave motion than surge and pitch motions. Moreover, placing
506 the observer poles further to the negative real axis can reduce this error and increase
507 hovering performance.

508 The scope of this work is to develop a model to describe the SST station-keeping
509 problem that can help the design and operation of the SST vessel and its relevant facilities.
510 Case studies of three four-hour time-domain simulations confirmed that the SST could
511 keep its position using its equipped actuators. Sufficient thrust can be provided from
512 tunnel thrusters to cope with 1 m/s designed current speed. Finally, an envelope of SST
513 trajectory during offloading under three different mean current velocities is outlined.

514 SST's maximum heave and surge motions grow with the current speed. The maximum
515 surge and heave motions are 0.25 m and 2.5 m for 1.5 m/s extreme current velocity.

516 The model developed in this paper will serve as a basis to answer critical questions
517 regards to the design and operation of the SST and infrastructures related to it. Further,
518 it can help improve the understanding of manoeuvring and the development of extra-
519 large autonomous subsea vessels.

520 In addition, the following research studies are undergoing and planned using the
521 model developed in this work:

522 – Functionality failure study: a functionality issue may be caused by system failure
523 or extreme current speed, this can further cause a loss of structural integrity, i.e.,
524 collision or exceeding collapse depth. The developed model can be used to study
525 the failure conditions such as single tunnel thruster malfunction.

526 – Extreme loading conditions: knowing the extreme response of the SST is vital not
527 only for the design but also for the operation of the SST. A probabilistic method
528 can be used to predict the maximum response during hovering. This can be used
529 to knock down the designed collapse pressure for the pressure hull and identify
530 the safety distance of the SST from the surface or subsea installations.

531 – Flowline design: this model will be further coupled with dynamic tools like SIMA
532 or OrcaFlex for offloading subsea flowline design.

533

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536 the article are from the authors' original work.

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542

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